

A theory for symmetry protected topological order

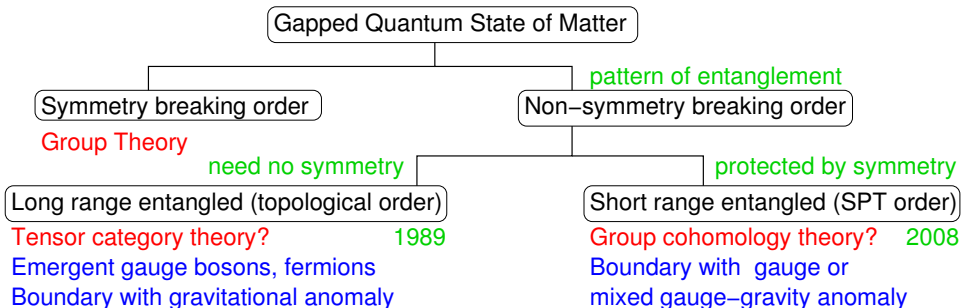
Xiao-Gang Wen, MIT
PiTP, IAS, July., 2014



Try to classify quantum states of matter

Quantum states of matter:

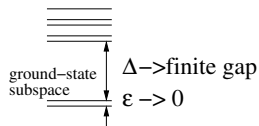
- gapless states – Very hard beyond 1+1D. I have no clue
- gapped states – A classification maybe possible:



- Group theory classifies 230 crystal orders in 3D space.
- What classifies SPT orders?
- What classifies topological orders?

What is a gapped state (or a gapped system)

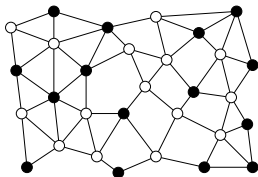
It is not just the energy spectrum has a gap.



- We need to take thermal dynamical limit.
 - But how to take large-size limit without translation symmetry?
- Gapped state may have gapless boundary.
 - Avoid boundary by putting the system on manifold without boundary, but the definition will depend on “shapes/topologies” of the manifold.
 - How to define gapped state by putting the system on a “ball”?
- Help us to understand what is the input to even define gapped phases
 - Mathematical foundation of gapped phases.

Def. of gapped liquid phase w/o translation symmetry

- A **local** Hamiltonian $H = \sum O_{ij} + O_{ijk} + \dots$ on graph w/ a shape of a ball.



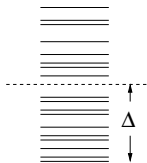
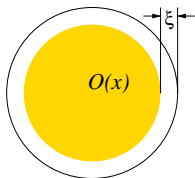
- A **bulk-gapped local** Hamiltonian: Excitations in bulk are all gapped.

$$|\langle \Phi_{\text{grnd}} | \hat{O}_{\text{bulk local}} | \Phi_{\text{grnd}} \rangle - \langle \Phi_{\text{exc}} | \hat{O}_{\text{bulk local}} | \Phi_{\text{exc}} \rangle| < e^{-\frac{\text{distance to boundary}}{\xi}}$$

where $|\Phi_{\text{exc}}\rangle$ has an energy less than, say, $\Delta/2$.

All low energy states are locally indistinguishable in the bulk.

→ unique bulk ground state on a “ball”.

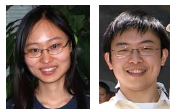
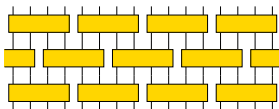


Kong-Wen 15

Def. of gapped liquid phase w/o translation symmetry

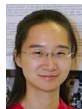
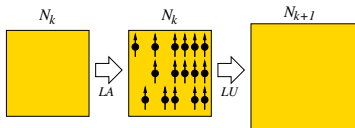
- A **gapped liquid phase** = an equivalent class of sequences of bulk-gapped local Hamiltonians H_{N_k} with size $N_k \rightarrow \infty$, where the equivalence relations are generated by

a) $H_{N_k} \sim (LU)H'_{N_k}(LU)^\dagger$



Chen-Gu-Wen, arXiv:1004.3835

b) $H_{N_{k+1}} \sim H_{N_k} \otimes H_{\text{tri}}$
 $H_{\text{tri}} = -\sum S_i^z$



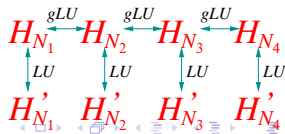
Zeng-Wen, arXiv:1406.5090

Two sequences of Hamiltonians:

$$H_{N_1}, H_{N_2}, H_{N_3}, H_{N_4}, \dots$$

$$H'_{N_1}, H'_{N_2}, H'_{N_3}, H'_{N_4}, \dots$$

$$N_{k+1} = sN_k, s \sim 2$$



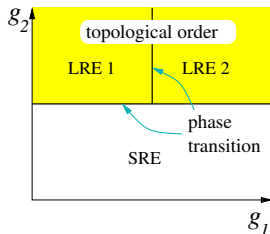
Gapped liquid phases = Topological orders

Even w/o symmetry breaking (H has no symmetry), we can have

- different gapped liquid phases
 - = different **topological orders**
 - = different patterns of long range entanglement

Wen PRB 40, 7387 (1989)

- *Short-range entanglement (SRE):*
 - = LU equivalent to product state
- *Long-range entanglement (LRE):*
 - = LU inequivalent to product state



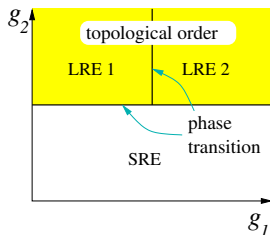
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- **Counter examples** (non-liquid gapped states):

- Landau symmetry breaking state
- 3D layered quantum Hall states
- Haah's 3D cubic-code state

Jeongwan Haah, Phys. Rev. A 83, 042330 (2011) arXiv:1101.1962

Examples for gapped liquid phases (topological orders)

• Examples:

- Product state (trivial topological order) $H_{\text{tri}} = - \sum \sigma_i^z$
- 1+1D p -wave superconductor (fermionic) Kiteav cond-mat/0010440,
- 2+1D $p + ip$ superconductor (fermionic) Read-Green cond-mat/9906453,
- IQH states (2+1D fermionic) Klitzing-Dorda-Pepper PRL 45, 494 (80),
- FQH states (2+1D fermionic) Tsui-Stormer-Gossard, PRL 48, 1559 (82),
- Chiral-spin liquids (bosonic)

Kalmeyer-Laughlin PRL 59, 2095 (87), Wen-Wilczek-Zee PRB 39, 11413 (89),

A realization by spin-1/2 on Kagome lattice

$$H = J_1 \sum_{1\text{st}} \mathbf{S}_i \cdot \mathbf{S}_j + J_2 \sum_{2\text{nd}} \mathbf{S}_i \cdot \mathbf{S}_j + J_3 \sum_{3\text{rd}} \mathbf{S}_i \cdot \mathbf{S}_j$$

$$J_2 = J_3 > 0.1J_1$$

Gong-Zhu-Balents-Sheng arXiv:1412.1571

- Z_2 -spin liquid Read-Sachdev PRL 66, 1773 (91), Wen PRB 44, 2664 (91)
- Pfaffian state $\mathcal{A}(\frac{1}{z_1 - z_2} \frac{1}{z_3 - z_3} \dots) \prod (z_i - z_j)^2$ Moore-Read NPB 360, 362 (91)
- $SU(2)_2$ state $\Psi_{\nu=1}(z_i) \Psi_{\nu=2}(z_i) \Psi_{\nu=2}(z_i)$ Wen PRL 66, 802 (91)

The last two examples are non-abelian with the same non-abelian statistics: $Ising \times U(1)$ or $Ising \times U^2(1)$.

We conjectured that topological order can be completely defined via only two topological properties (at least in 2D):

Wen IJMPB 4, 239 (90); KeskiVakkuri-Wen IJMPB 7, 4227 (93)

(1) **Topological ground state degeneracy D_g**

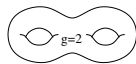
- degenerate only in size $\rightarrow \infty$ limit
- robust against any impurities
- depend on topology of space



Deg.=1



Deg.= D_1



Deg.= D_2

Wen PRB 40, 7387 (89), Wen-Niu PRB 41, 9377 (90)

(2) **Non-Abelian geometric phases** of the degenerate ground state from local and global deformation of space manifold.

- Local deformation detects grav. Chern-Simons term $e^{i \frac{2\pi c}{24} \int_{M^2 \times S^1} \omega_3}$
- Global deformation of torus: 90° rotation $|\Psi_\alpha\rangle \rightarrow |\Psi'_\alpha\rangle = S_{\alpha\beta} |\Psi_\beta\rangle$
 Dehn twist: $|\Psi_\alpha\rangle \rightarrow |\Psi'_\alpha\rangle = T_{\alpha\beta} |\Psi_\beta\rangle$



S, T generate a rep. of modular group: $S^2 = (ST)^3 = C, C^2 = 1$

Wen IJMPB 4, 239 (90); KeskiVakkuri-Wen IJMPB 7, 4227 (93)

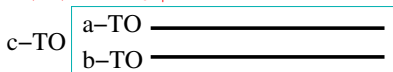
The above properties are **robust against any impurities!**

Monoid and group structures of topological orders

- Let $\mathcal{S}_{d+1} = \{a, b, c, \dots\}$ be a set of topologically ordered phases in d spatial dimensions.

Stacking a -TO state and b -TO state \rightarrow a c -TO state:

$$a \boxtimes b = c, \quad a, b, c \in \mathcal{S}_{d+1}$$



- \boxtimes make \mathcal{S}_{d+1} a monoid (a group without inverse). Number of the types of topological excitations $N_c = N_a N_b$.
- Some topological orders have inverse \rightarrow **invertible topological orders (iTO)** which form an abelian group.

A topological order is invertible iff it has no non-trivial topological excitations.

Kong-Wen arXiv:1405.5858; Freed arXiv:1406.7278

- Examples:**

- 1+1D p -wave SC (fermionic).
- 2+1D IQH (fermionic).
- 2+1D $p + ip$ SC (fermionic).
- 2+1D E_8 QH (bosonic)

Classification of (potential) invertible topological orders



- Bosonic iTO:

$0 + 1D$	$1 + 1D$	$2 + 1D$	$3 + 1D$	$4 + 1D$	$5 + 1D$	$6 + 1D$
0	0	\mathbb{Z}_{E_8}	0	\mathbb{Z}_2	0	$\mathbb{Z} \oplus \mathbb{Z}$
0	0	0	\mathbb{Z}	\mathbb{Z}_2	0	0

Kapustin arXiv:1404.6659; Kong-Wen arXiv:1405.5858; Wen arXiv:1506.05768

- Fermionic iTO:

$0 + 1D$	$1 + 1D$	$2 + 1D$	$3 + 1D$	$4 + 1D$	$5 + 1D$	$6 + 1D$
\mathbb{Z}_2	\mathbb{Z}_2 p-wave	\mathbb{Z} $p+ip$	0	0	0	$\mathbb{Z} \oplus \mathbb{Z}$
\mathbb{Z}_2	\mathbb{Z}_2	0	\mathbb{Z}	0	0	0

Kapustin-Thorngren-Turzillo-Wang arXiv:1406.7329

Kitaev cond-mat/0010440; Read-Green cond-mat/9906453



Classification of (potential) invertible topological orders

(Potential) invertible topological orders are classified by possible gravitational topological terms

- In 2+1D by possible gravitational Chern-Simons terms $e^{i \frac{2\pi c}{24} \int_{M^3} \omega_3}$, which not well defined
- Using $d\omega_3 = p_1$, we define $e^{i \frac{2\pi c}{24} \int_{M^3=\partial M^4} \omega_3} = e^{i \frac{2\pi c}{24} \int_{M^4} p_1}$ which is well defined only when $e^{i \frac{2\pi c}{24} \int_{M^4} p_1} = 1$ on any closed M^4 .
- Bosonic: since $\int_{M^4} p_1 = 3 \times \text{int.}$, $\rightarrow c = 8 \times \text{int.}$
- Fermionic: since $\int_{\text{spin}M^4} p_1 = 48 \times \text{int.}$ $\rightarrow c = \frac{1}{2} \times \text{int.}$

In the above we require the gravitational Chern-Simons terms to be well defined on any space-time manifolds.

- For condensed matter Hamiltonian systems, we only require the gravitational Chern-Simons terms to be well defined on space-time of form $M^2 \times S^1$ (mapping torus). In this case:
- Bosonic: since $\int_{M^2 \times \Sigma^2} p_1 = 12 \times \text{int.}$, $\rightarrow c = 2 \times \text{int.}$
- Fermionic: since $\int_{\text{spin}(M^2 \times \Sigma^2)} p_1 = 48 \times \text{int.}$, $\rightarrow c = \frac{1}{2} \times \text{int.}$



2+1D bosonic topo. orders (up to invertibles) via S, T

Dim of $S, T = \#$ of topological types > 1 .

- There is a basis such that T is diagonal, S unitary & symmetric

$$T_{ij} = e^{2\pi i s_i} e^{-2\pi i \frac{c}{24}} \delta_{ij}, \quad S_{1i} > 0, \quad N_k^{ij} = \sum_l \frac{S_{li} S_{lj} (S_{lk})^*}{S_{1l}} = \text{integer} \geq 0.$$

$$(ST)^3 = S^2 = C, \quad C^2 = 1, \quad C_{ij} = N_1^{ij}.$$

s_i : spin of i^{th} type of particle. N_k^{ij} : fusion coeff. of the particles.

- N_k^{ij} satisfy

$$N_k^{ij} = N_k^{ji}, \quad N_j^{1i} = \delta_{ij}, \quad \sum_{k=1}^N N_1^{ik} N_1^{kj} = \delta_{ij},$$

$$\sum_{m=1}^n N_m^{ij} N_l^{mk} = \sum_{n=1}^n N_l^{in} N_n^{jk} \text{ or } N_k N_i = N_i N_k$$

where $i, j, \dots = 1, 2, \dots, n$, and the matrix N_i is given by $(N_i)_{kj} = N_k^{ij}$. In fact N_1^{ij} defines a charge conjugation $i \rightarrow \bar{i}$:

$$N_1^{ij} = \delta_{\bar{i}\bar{j}}.$$

2+1D bosonic topo. orders (up to invertibles) via S, T

There exist a $c \pmod{8}$ to make s_i to satisfy the following conditions:

- N_k^{ij} and s_i satisfy

$$\sum_j \tilde{M}_{ij} s_j = 0 \pmod{1},$$

where $\tilde{M}_{ij} = \delta_{ij} \frac{4}{3} \sum_k M_{ik} - M_{ij} = \text{integer}$, $M_{ij} = 2N_j^{\bar{i}\bar{i}} N_i^{ij} + N_j^{ii} N_i^{\bar{j}\bar{i}}$

- s_i, S_{ij} satisfy

$$S_{ij} = \frac{1}{\sqrt{\sum_i d_i^2}} \sum_k N_k^{ij} e^{2\pi i (s_i + s_j - s_k)} d_k.$$

where d_i is the largest eigenvalue of the matrix N_i .

- Let $\nu_i = \frac{1}{D^2} \sum_{jk} N_i^{jk} d_j d_k e^{4\pi i (s_j - s_k)}$. Then, we also have $\nu_i = 0$ if $i \neq \bar{i}$, and $\nu_i = \pm 1$ if $i = \bar{i}$.

2+1D bosonic topo. orders (up to invertibles) via S, T

Wen arXiv:1506.05768

\mathbb{Z}_{16} : minimal bosonic topo. orders with a fermion

N_c^B	d_1, d_2, \dots	s_1, s_2, \dots	wave func.	N_c^B	d_1, d_2, \dots	s_1, s_2, \dots	wave func.
1_c^B	1	0					
2_1^B	1, 1	$0, \frac{1}{4}$	$\prod(z_i - z_j)^2$	2_{-1}^B	1, 1	$0, -\frac{1}{4}$	$\prod(z_i^* - z_j^*)^2$
$2_{14/5}^B$	$1, \zeta_3^1$	$0, \frac{2}{5}$		$2_{-14/5}^B$	$1, \zeta_3^1$	$0, -\frac{2}{5}$	
3_2^B	1, 1, 1	$0, \frac{1}{3}, \frac{1}{3}$		3_{-2}^B	1, 1, 1	$0, -\frac{1}{3}, -\frac{1}{3}$	
$3_{8/7}^B$	$1, \zeta_5^1, \zeta_5^2$	$0, -\frac{1}{7}, \frac{2}{7}$		$3_{-8/7}^B$	$1, \zeta_5^1, \zeta_5^2$	$0, \frac{1}{7}, -\frac{2}{7}$	
$3_{1/2}^B$	$1, 1, \zeta_2^1$	$0, \frac{1}{2}, \frac{1}{16}$	Ψ_{Pfaffian} $\Psi_{\nu=2}^2 = SU(2)_2$	$3_{-1/2}^B$	$1, 1, \zeta_2^1$	$0, \frac{1}{2}, -\frac{1}{16}$	
$3_{3/2}^B$	$1, 1, \zeta_2^1$	$0, \frac{1}{2}, \frac{3}{16}$		$3_{-3/2}^B$	$1, 1, \zeta_2^1$	$0, \frac{1}{2}, -\frac{3}{16}$	
$3_{5/2}^B$	$1, 1, \zeta_2^1$	$0, \frac{1}{2}, \frac{5}{16}$		$3_{-5/2}^B$	$1, 1, \zeta_2^1$	$0, \frac{1}{2}, -\frac{5}{16}$	
$3_{7/2}^B$	$1, 1, \zeta_2^1$	$0, \frac{1}{2}, \frac{7}{16}$		$3_{-7/2}^B$	$1, 1, \zeta_2^1$	$0, \frac{1}{2}, -\frac{7}{16}$	
$4_0^{B,a}$	1, 1, 1, 1	$0, 0, 0, \frac{1}{2}$		4_4^B	1, 1, 1, 1	$0, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}$	
4_1^B	1, 1, 1, 1	$0, \frac{1}{8}, \frac{1}{8}, \frac{1}{2}$		4_{-1}^B	1, 1, 1, 1	$0, -\frac{1}{8}, -\frac{1}{8}, \frac{1}{2}$	
4_2^B	1, 1, 1, 1	$0, \frac{1}{4}, \frac{1}{4}, \frac{1}{2}$		4_{-2}^B	1, 1, 1, 1	$0, -\frac{1}{4}, -\frac{1}{4}, \frac{1}{2}$	
4_3^B	1, 1, 1, 1	$0, \frac{3}{8}, \frac{3}{8}, \frac{1}{2}$		4_{-3}^B	1, 1, 1, 1	$0, -\frac{3}{8}, -\frac{3}{8}, \frac{1}{2}$	
$4_0^{B,b}$	1, 1, 1, 1	$0, 0, \frac{1}{4}, -\frac{1}{4}$	$\prod(z_i - z_j)^4$	$4_{9/5}^B$	$1, 1, \zeta_3^1, \zeta_3^1$	$0, -\frac{1}{4}, \frac{3}{20}, \frac{2}{5}$	
$4_{-9/5}^B$	$1, 1, \zeta_3^1, \zeta_3^1$	$0, \frac{1}{4}, -\frac{3}{20}, -\frac{2}{5}$		$4_{19/5}^B$	$1, 1, \zeta_3^1, \zeta_3^1$	$0, \frac{1}{4}, -\frac{7}{20}, \frac{2}{5}$	
$4_{-19/5}^B$	$1, 1, \zeta_3^1, \zeta_3^1$	$0, -\frac{1}{4}, \frac{7}{20}, -\frac{2}{5}$	$\Psi_{\nu=3}^2 = SU(2)_3$	$4_0^{B,c}$	$1, \zeta_3^1, \zeta_3^1, \zeta_3^1 \zeta_3^1$	$0, \frac{2}{5}, -\frac{2}{5}, 0$	
$4_{12/5}^B$	$1, \zeta_3^1, \zeta_3^1, \zeta_3^1 \zeta_3^1$	$0, -\frac{2}{5}, -\frac{2}{5}, \frac{1}{5}$		$4_{-12/5}^B$	$1, \zeta_3^1, \zeta_3^1, \zeta_3^1 \zeta_3^1$	$0, \frac{2}{5}, \frac{2}{5}, -\frac{1}{5}$	
$4_{10/3}^B$	$1, \zeta_7^1, \zeta_7^2, \zeta_7^3$	$0, \frac{1}{3}, \frac{2}{9}, -\frac{1}{3}$		$4_{-10/3}^B$	$1, \zeta_7^1, \zeta_7^2, \zeta_7^3$	$0, -\frac{1}{3}, -\frac{2}{9}, \frac{1}{3}$	
5_0^B	1, 1, 1, 1, 1	$0, \frac{1}{5}, \frac{1}{5}, -\frac{1}{5}, -\frac{1}{5}$		5_4^B	1, 1, 1, 1, 1	$0, \frac{2}{5}, \frac{2}{5}, -\frac{2}{5}, -\frac{2}{5}$	
$5_2^{B,a}$	$1, 1, \zeta_4^1, \zeta_4^1, 2$	$0, 0, \frac{1}{8}, -\frac{3}{8}, \frac{1}{3}$		$5_2^{B,b}$	$1, 1, \zeta_4^1, \zeta_4^1, 2$	$0, 0, -\frac{1}{8}, \frac{3}{8}, \frac{1}{3}$	
$5_{-2}^{B,b}$	$1, 1, \zeta_4^1, \zeta_4^1, 2$	$0, 0, \frac{1}{8}, \frac{3}{8}, -\frac{1}{3}$		$5_{-2}^{B,a}$	$1, 1, \zeta_4^1, \zeta_4^1, 2$	$0, 0, -\frac{1}{8}, \frac{3}{8}, -\frac{1}{3}$	
$5_{16/11}^B$	$1, \zeta_9^1, \zeta_9^2, \zeta_9^3, \zeta_9^4$	$0, -\frac{2}{11}, \frac{2}{11}, \frac{1}{11}, -\frac{5}{11}$		$5_{-16/11}^B$	$1, \zeta_9^1, \zeta_9^2, \zeta_9^3, \zeta_9^4$	$0, \frac{2}{11}, -\frac{2}{11}, -\frac{1}{11}, \frac{5}{11}$	
$5_{18/7}^B$	$1, \zeta_5^1, \zeta_5^2, \zeta_{12}^1, \zeta_{12}^4$	$0, -\frac{1}{7}, -\frac{1}{7}, \frac{1}{7}, \frac{3}{7}$		$5_{-18/7}^B$	$1, \zeta_5^1, \zeta_5^2, \zeta_{12}^1, \zeta_{12}^4$	$0, \frac{1}{7}, \frac{1}{7}, -\frac{1}{7}, -\frac{3}{7}$	

2+1D fermionic topological orders (up to invertibles)

Classified by **modular BFC over $s\text{Rep}(Z_2^f)$** .

Lan-Wen arXiv:1507.04673



N_F^F	S_{top}	D^2	d_1, d_2, \dots	s_1, s_2, \dots	
2_0^F	0	2	1, 1	$0, \frac{1}{2}$	trivial \mathcal{F}_0
4_0^F	0.5	4	1, 1, 1, 1	$0, \frac{1}{2}, \frac{1}{4}, -\frac{1}{4}$	$\mathcal{F}_0 \otimes 2_1^B$
$4_{1/5}^F$	0.9276	7.2360	$1, 1, \zeta_3, \zeta_3$	$0, \frac{1}{2}, \frac{1}{10}, -\frac{2}{5}$	$\mathcal{F}_0 \otimes 2_{-14/5}^B$
$4_{-1/5}^F$	0.9276	7.2360	$1, 1, \zeta_3, \zeta_3$	$0, \frac{1}{2}, -\frac{1}{10}, \frac{2}{5}$	$\mathcal{F}_0 \otimes 2_{14/5}^B$
$4_{1/4}^F$	1.3857	13.6568	$1, 1, \zeta_6, \zeta_6$	$0, \frac{1}{2}, -\frac{1}{4}, \frac{1}{4}$	$\mathcal{F}(A_{1,6})$
6_0^F	0.7924	6	1, 1, 1, 1, 1, 1	$0, \frac{1}{2}, \frac{1}{6}, -\frac{1}{3}, \frac{1}{6}, -\frac{1}{3}$	$\mathcal{F}_0 \otimes 3_{-2}^B$
6_0^F	0.7924	6	1, 1, 1, 1, 1, 1	$0, \frac{1}{2}, -\frac{1}{6}, \frac{1}{3}, -\frac{1}{6}, \frac{1}{3}$	$\mathcal{F}_0 \otimes 3_2^B$
6_0^F	1	8	$1, 1, 1, 1, \zeta_2, \zeta_2$	$0, \frac{1}{2}, 0, \frac{1}{2}, \frac{1}{16}, -\frac{7}{16}$	$\mathcal{F}_0 \otimes 3_{1/2}^B$
6_0^F	1	8	$1, 1, 1, 1, \zeta_2, \zeta_2$	$0, \frac{1}{2}, 0, \frac{1}{2}, \frac{3}{16}, -\frac{5}{16}$	$\mathcal{F}_0 \otimes 3_{3/2}^B$
6_0^F	1	8	$1, 1, 1, 1, \zeta_2, \zeta_2$	$0, \frac{1}{2}, 0, \frac{1}{2}, \frac{5}{16}, -\frac{3}{16}$	$\mathcal{F}_0 \otimes 3_{-3/2}^B$
6_0^F	1	8	$1, 1, 1, 1, \zeta_2, \zeta_2$	$0, \frac{1}{2}, 0, \frac{1}{2}, \frac{7}{16}, -\frac{1}{16}$	$\mathcal{F}_0 \otimes 3_{-1/2}^B$
$6_{1/7}^F$	1.6082	18.5916	$1, 1, \zeta_5, \zeta_5, \zeta_5, \zeta_5$	$0, \frac{1}{2}, \frac{5}{14}, -\frac{1}{7}, -\frac{3}{14}, \frac{2}{7}$	$\mathcal{F}_0 \otimes 3_{8/7}^B$
$6_{-1/7}^F$	1.6082	18.5916	$1, 1, \zeta_5, \zeta_5, \zeta_5, \zeta_5$	$0, \frac{1}{2}, -\frac{5}{14}, \frac{1}{7}, \frac{3}{14}, -\frac{2}{7}$	$\mathcal{F}_0 \otimes 3_{-8/7}^B$
6_0^F	2.2424	44.784	$1, 1, \zeta_{10}^2, \zeta_{10}^2, \zeta_{10}^4, \zeta_{10}^4$	$0, \frac{1}{2}, \frac{1}{3}, -\frac{1}{6}, 0, \frac{1}{2}$	primitive
6_0^F	2.2424	44.784	$1, 1, \zeta_{10}^2, \zeta_{10}^2, \zeta_{10}^4, \zeta_{10}^4$	$0, \frac{1}{2}, -\frac{1}{3}, \frac{1}{6}, 0, \frac{1}{2}$	$\mathcal{F}(A_{1,10})$
8_0^F	1	8	1, 1, 1, 1, 1, 1, 1, 1	$0, \frac{1}{2}, 0, \frac{1}{2}, \frac{1}{8}, -\frac{3}{8}, \frac{1}{8}, -\frac{3}{8}$	$\mathcal{F}_0 \otimes 4_1^B$
8_0^F	1	8	1, 1, 1, 1, 1, 1, 1, 1	$0, \frac{1}{2}, 0, \frac{1}{2}, -\frac{1}{4}, \frac{1}{4}, -\frac{1}{4}, \frac{1}{4}$	$\mathcal{F}_0 \otimes 4_0^B$
8_0^F	1	8	1, 1, 1, 1, 1, 1, 1, 1	$0, \frac{1}{2}, 0, \frac{1}{2}, \frac{3}{8}, -\frac{1}{8}, \frac{3}{8}, -\frac{1}{8}$	$\mathcal{F}_0 \otimes 4_{-1}^B$
8_0^F	1	8	1, 1, 1, 1, 1, 1, 1, 1	$0, \frac{1}{2}, 0, \frac{1}{2}, \frac{1}{2}, 0, \frac{1}{2}, 0$	$\mathcal{F}_0 \otimes 4_0^B$
$8_{1/5}^F$	1.4276	14.4720	$1, 1, 1, 1, \zeta_3, \zeta_3, \zeta_3, \zeta_3$	$0, \frac{1}{2}, -\frac{1}{4}, \frac{1}{4}, \frac{1}{10}, -\frac{2}{5}, \frac{7}{20}, -\frac{3}{20}$	$\mathcal{F}_0 \otimes 4_{-9/5}^B$
$8_{-1/5}^F$	1.4276	14.4720	$1, 1, 1, 1, \zeta_3, \zeta_3, \zeta_3, \zeta_3$	$0, \frac{1}{2}, -\frac{1}{4}, \frac{1}{4}, -\frac{1}{10}, \frac{2}{5}, \frac{7}{20}, -\frac{3}{20}$	$\mathcal{F}_0 \otimes 4_{9/5}^B$

Symmetric gapped liquid phases = enriched topo. order

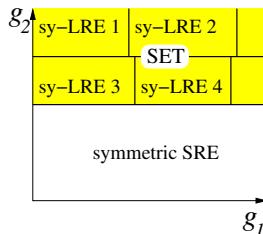
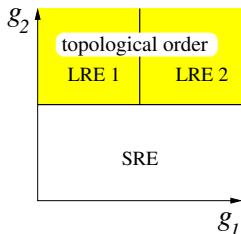
- A **symmetric gapped liquid phase**

≡ a symmetry enriched topological (**SET**) order

= an equivalent class of gapped quantum states, with **symmetric** equivalence relations generated by

a) $H \sim (\text{symm.LU})H(\text{symm.LU})^\dagger$

b) $H \sim H \otimes H_{\text{tri}}$, with $H_{\text{tri}} = \mathbf{S}_1 \cdot \mathbf{S}_2 + \mathbf{S}_3 \cdot \mathbf{S}_4 + \dots$

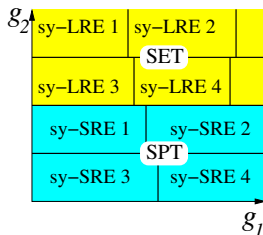
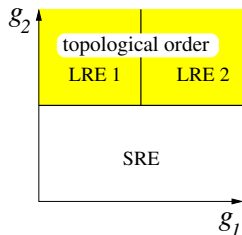


Symmetric gapped liquid phases w/o topo. order

- Gapped liquid phases with symmetry and no topological order
→ **symmetry protected topological/trivial (SPT) order**

$(LU)H_{\text{SPT}}(LU)^\dagger \sim H_{\text{tri}}$ → trivial topological order

$(\text{symm.}LU)H_{\text{SPT}}(\text{symm.}LU)^\dagger \approx H_{\text{tri}}$ → non-trivial SPT order



Gu-Wen arXiv:0903.1069; Chen-Gu-Wen arXiv:1004.3835

• Examples:

- Haldane phase of spin-1 chain (bosonic) Haldane 83
- Topological insulators (fermionic).

2D: Kane-Mele 05; Bernevig-Zhang 06; 3D: Moore-Balents 07; Fu-Kane-Mele 07



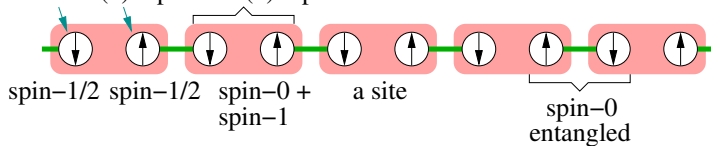
An $SO(3)$ SPT state in spin-1 chain (Haldane phase)

Affleck-Kennedy-Lieb-Tasaki PRL 59, 799 (1987)

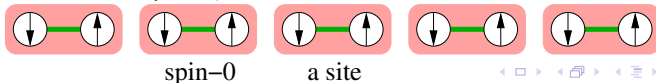
- spin-1 chain – a spin-disordered state:

$$H_{AKLT} = \sum_i [\mathbf{S}_i \cdot \mathbf{S}_{i+1} + \frac{1}{3}(\mathbf{S}_i \cdot \mathbf{S}_{i+1})^2] \quad (+U \sum_i P(S_i = 0))$$

not a $SO(3)$ rep. a $SO(3)$ representation

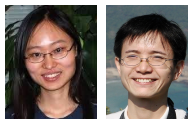
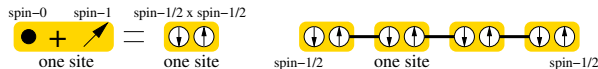


- If we do not project out the spin-0 state and consider spin-1,0 chain
→ ideal $SO(3)$ SPT state (RG fixed point). [Gu-Wen arXiv:0903.1069](https://arxiv.org/abs/0903.1069)
- Degenerate spin-1/2 doublet at each boundary, if we do not break the $SO(3)$ symmetry.
- The above is a different gapped symmetric phase than the local singlet state of spin-1,0 chain



• Haldane phase with $SO(3)$ symm.:

spin-1/2 is not a rep. of $SO(3)$



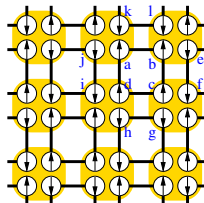
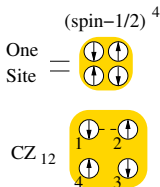
• 2D SPT phase with Z_2 symm.:

- Physical states on each site:

$$(\text{spin-}\frac{1}{2})^4 = |\alpha\rangle \otimes |\beta\rangle \otimes |\gamma\rangle \otimes |\lambda\rangle$$

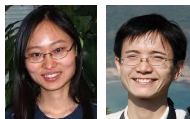
- The ground state wave function:

$$|\Psi_{\text{CZX}}\rangle = \otimes_{\text{all squares}} (|\uparrow\uparrow\uparrow\uparrow\rangle + |\downarrow\downarrow\downarrow\downarrow\rangle)$$



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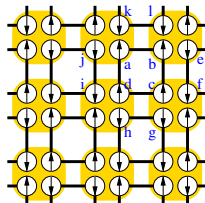
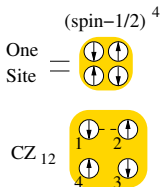
- The ground state wave function:

$$|\Psi_{CZX}\rangle = \otimes_{\text{all squares}} (|\uparrow\uparrow\uparrow\uparrow\rangle + |\downarrow\downarrow\downarrow\downarrow\rangle)$$

- The on-site Z_2 symmetry: (acting on each site $|\alpha\rangle \otimes |\beta\rangle \otimes |\gamma\rangle \otimes |\lambda\rangle$):

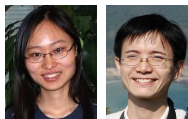
$$U_{CZX} = U_{CZ} U_X, \quad U_X = X_1 X_2 X_3 X_4, \quad U_{CZ} = CZ_{12} CZ_{23} CZ_{34} CZ_{41}$$

$$CZ_{ij} : |\uparrow\uparrow\rangle \rightarrow |\uparrow\uparrow\rangle, |\uparrow\downarrow\rangle \rightarrow |\uparrow\downarrow\rangle, |\downarrow\uparrow\rangle \rightarrow |\downarrow\uparrow\rangle, |\downarrow\downarrow\rangle \rightarrow -|\downarrow\downarrow\rangle$$



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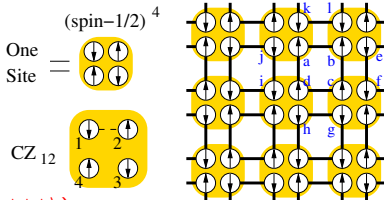
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- Z_2 symm. Hamiltonian $H = \sum_{\square} H_p, H_p = -X_{abcd} P_{ef} P_{gh} P_{ij} P_{kl},$

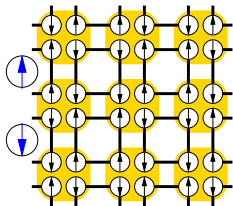
$$X_{abcd} = |\uparrow\uparrow\uparrow\uparrow\rangle\langle\downarrow\downarrow\downarrow\downarrow| + |\downarrow\downarrow\downarrow\downarrow\rangle\langle\uparrow\uparrow\uparrow\uparrow|, \quad P = |\uparrow\uparrow\rangle\langle\uparrow\uparrow| + |\downarrow\downarrow\rangle\langle\downarrow\downarrow|$$



Edge excitations for the 2D Z_2 SPT state

- Bulk Hamiltonian $H = \sum_{\square} H_p$, $H_p = -X_{abcd} P_{ef} P_{gh} P_{ij}, P_{kl}$,
 $X_{abcd} = |\uparrow\uparrow\uparrow\rangle\langle\downarrow\downarrow\downarrow| + |\downarrow\downarrow\downarrow\rangle\langle\uparrow\uparrow\uparrow|$, $P = |\uparrow\uparrow\rangle\langle\uparrow\uparrow| + |\downarrow\downarrow\rangle\langle\downarrow\downarrow|$.

- Edge excitations: *gapless or break the Z_2 symmetry, robust against any perturbations that do not break the Z_2 symmetry.*



- Edge effective spin $|\tilde{\uparrow}\rangle$ and $|\tilde{\downarrow}\rangle$.

- Edge eff. Z_2 symm. : $\tilde{U}_{Z_2} = \prod_i CZ_{i,i+1} \prod_i \tilde{X}_i$
which cannot be written as $U_{Z_2} = \prod_i O_i$, such as $U_{Z_2} = \prod \tilde{X}_i$.
Not an on-site symmetry!

- Edge effective Ham. ($c = 1$ gapless if the Z_2 is not broken)

$$H = \sum \left(-J\tilde{Z}_i\tilde{Z}_{i+1} + B_x[\tilde{X}_i + \tilde{Z}_{i-1}\tilde{X}_i\tilde{Z}_{i+1}] + B_y[\tilde{Y}_i - \tilde{Z}_{i-1}\tilde{Y}_i\tilde{Z}_{i+1}] \right)$$

$$H = \sum (\tilde{X}_i + \tilde{Z}_{i-1}\tilde{X}_i\tilde{Z}_{i+1}) \text{ dual to } H = - \sum (X_i X_{i+1} + Y_i Y_{i+1})$$

- Non-on-site $\tilde{U}_{Z_2} \rightarrow$ **anomalous symmetry**. Not gaugable.

On-site $U_{Z_2} \rightarrow$ **anomaly-free symm.** – the usual global symm. ☰ ↶ ↷ ↻

Field theory for edge of the 2+1D Z_2 SPT state

- The primary field of $U(1)$ current algebra $V_{l,m}$ has dimensions $(h_R, h_L) = (\frac{(l+2m)^2}{8}, \frac{(l-2m)^2}{8})$.
- The Z_2 symmetry action $V_{l,m} \rightarrow (-)^{l+m} V_{l,m}$
- Edge effective (chiral boson) theory: Chen-Wen arXiv:1206.3117

$$\mathcal{L}_{\text{edge}} = -\frac{1}{4\pi}(\partial_x \phi_1 \partial_t \phi_2 + \partial_x \phi_2 \partial_t \phi_1) - \frac{1}{4\pi} V(\partial_x \phi_1 \partial_x \phi_1 + \partial_x \phi_2 \partial_x \phi_2)$$

where $\phi_1 \sim \phi_1 + 2\pi$, $\phi_2 \sim \phi_2 + 2\pi$.

- Z_2 symmetry = $(\phi_1, \phi_2) \rightarrow (\phi_2, \phi_1)$
- Choose $\phi_{\pm} = \phi_1 \pm \phi_2$ (Under Z_2 : $\phi_{\pm} \rightarrow \pm \phi_{\pm}$)



$$\mathcal{L}_{\text{edge}} = -\frac{1}{8\pi}(\partial_x \phi_+ \partial_t \phi_+ - \partial_x \phi_- \partial_t \phi_-) - \frac{1}{8\pi} V[(\partial_x \phi_+)^2 + (\partial_x \phi_-)^2]$$

ϕ_+ right movers with no Z_2 charge. ϕ_- left movers with Z_2 charge.

- The 1+1D theory has a Z_2 anomaly
→ It is the edge of a 2+1D Z_2 SPT state.

Non-linear σ -model (NL σ M) for generic SPT states

- Consider an $d + 1$ D system: $S = \int d^d x dt \frac{1}{2\lambda} |g^{-1}(x) \partial g(x)|^2$,
Symmetry $g(x) \rightarrow hg(x)$. h, g are unitary matrices in G .
- λ is small \rightarrow the ground state is ordered $\langle g(x, t) \rangle = g_0$.
 λ is large \rightarrow the ground state is disordered $\langle g(x, t) \rangle = 0$.
- The fixed point action of the disordered phases:
Under RG, $\lambda \rightarrow \infty \rightarrow$ the disordered symmetric phase is described
by a fixed point theory $S_{\text{fixed}} = 0$ or $e^{-S_{\text{fixed}}} = 1$.
All correlations are short ranged. All excitations are gapped.
- We thought that the disordered ground states are trivial,
no excitations at low energies.
But the disordered ground states can be non-trivial: they can
belong to different phases, even without symmetry breaking.
 \rightarrow the notions of **topological orders** and **SPT orders**.

Chen-Gu-Liu-Wen arXiv:1106.4772



NL σ M with topological terms

Different disordered phases can arise from NL σ M with different topological terms.

NL σ M with topological terms

Different disordered phases can arise from NL σ M with different topological terms.

- Another G symmetric system

$$S = \int d^d x dt \left(\frac{1}{2\lambda} |g^{-1} \partial g|^2 + 2\pi i W_{G\text{-top}} \right)$$

where $W_{G\text{-top}}[g(x^i, t)]$ is a topological term.

- The topo. term $W_{G\text{-top}}[g(x^i, t)]$ can appear if $\pi_{d+1}(G) \neq 0$.

Example 1: 0 + 1D with $G = U_1 = S^1$ ($g = e^{i\theta}$), $\pi_1(U_1) = \mathbb{Z}$

$$W_{G\text{-top}} = -k \frac{i}{2\pi} g^{-1} \partial_t g = k \frac{\dot{\theta}}{2\pi}, \quad k \in \mathbb{Z}.$$

$\int dt W_{G\text{-top}} = k \times$ winding number.

Example 2: 2 + 1D with $G = SU_2 = S^3$, $\pi_3(SU_2) = \mathbb{Z}$

$$W_{G\text{-top}} = k \frac{1}{24\pi^2} \epsilon^{\mu\nu\lambda} (ig^{-1} \partial_\mu g)(ig^{-1} \partial_\nu g)(ig^{-1} \partial_\lambda g), \quad k \in \mathbb{Z}.$$

$\int dt d^2x W = k \times$ winding number.

- The topological term has no dynamical effect $e^{-S_{\text{fixed}}} = 1$, but can give rise to different symmetric phases classified by k

Fixed-point eff. Lagrangian for symmetric phases

- If λ is large \rightarrow disordered state.

Under RG, $\lambda \rightarrow \infty \rightarrow$ disordered symmetric ground state is described by a low energy fixed-point theory $S_{\text{fixed}} = 2\pi i \int W_{G\text{-top}}$
– *topological non-linear σ -model with pure topological term.*

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The symmetric phases are classified by different 2π -quantized topological terms ($\text{Hom}(\pi_{d+1}(G), \mathbb{Z})$ linear maps $\pi_{d+1}(G) \rightarrow \mathbb{Z}$)

Ex. $S = 2\pi i \int W_{G\text{-top}} = k \frac{2\pi i}{24\pi^2} \int (\text{ig}^{-1} \text{dg})^3, \quad k \in \mathbb{Z}$

$\text{Hom}(\pi_{d+1}(G), \mathbb{Z}) = \{k\}.$

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- Can we define topological terms and topological non-linear σ -models when space-time is a discrete lattice?

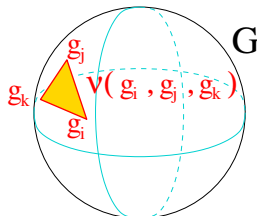
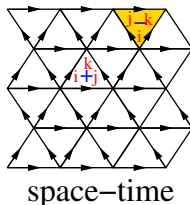
NL σ M on 1+1D space-time lattice

- Path integral on 1+1D space-time lattice with branching structure:

$$e^{-S} = \prod \nu_2^{s_{ijk}}(g_i, g_j, g_k),$$

where $\nu^{s_{ijk}}(g_i, g_j, g_k) = e^{-\int_{\Delta} L}$ and $s_{ijk} = 1, *$

- The above defines a LN σ M with target space G on 1+1D space-time lattice.



- The NL σ M will have a symmetry G if $g_i \in G$ and

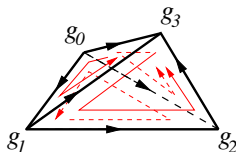
$$\nu_2(g_i, g_j, g_k) = \nu_2(hg_i, hg_j, hg_k), \quad h \in G$$

- The above is the lattice version of NL σ M field theory:

$$\mathcal{L} = \frac{1}{\lambda} |g^{-1} \partial g|^2, \quad \text{symm. } h : g(x) \rightarrow hg(x)$$

Topo. term and topo. NL σ M on space-time lattice

- $\nu(g_i, g_j, g_k)$ give rise to a topological NL σ M if $e^{-S_{\text{fixed}}} = \prod \nu^{S_{ijk}}(g_i, g_j, g_k) = 1$ on any sphere, including a tetrahedron (simplest sphere).



- $\nu(g_i, g_j, g_k) \in U_1$
- On a tetrahedron \rightarrow 2-cocycle condition

$$\nu_2(g_1, g_2, g_3)\nu_2(g_0, g_1, g_3)\nu_2^{-1}(g_0, g_2, g_3)\nu_2^{-1}(g_0, g_1, g_2) = 1$$

The solutions of the above equation are called **group cocycle**.

- The 2-cocycle condition has many solutions:

$\nu_2(g_0, g_1, g_2)$ and $\tilde{\nu}_2(g_0, g_1, g_2) = \nu_2(g_0, g_1, g_2) \frac{\beta_1(g_1, g_2)\beta_1(g_0, g_1)}{\beta_1(g_0, g_2)}$ are both cocycles. We say $\nu_2 \sim \tilde{\nu}_2$ (equivalent).

- The set of the equivalent classes of ν_2 is denoted as

$$\mathcal{H}^2(G, U_1) = \pi_0(\text{space of the solutions}).$$

- $\mathcal{H}^2(G, U_1)$ (\rightarrow topo. terms) describes 1+1D SPT phases protected by G .

Group cohomology $\mathcal{H}^d[G, U_1]$ in any dimensions

- d -Cochain: U_1 valued function of $d + 1$ variables

$$\nu_d(g_0, \dots, g_d) = \nu_d(gg_0, \dots, gg_d) \in U_1, \rightarrow \text{on-site } G \text{-symmetry}$$

- δ -map: ν_d with $d + 1$ variables $\rightarrow (\delta\nu_d)$ with $d + 2$ variables

$$(\delta\nu_d)(g_0, \dots, g_{d+1}) = \prod_i \nu_d^{(-)i}(g_0, \dots, \hat{g}_i, \dots, g_{d+1})$$

- Cocycles = cochains that satisfy

$$(\delta\nu_d)(g_0, \dots, g_{d+1}) = 1.$$

- Equivalence relation generated by any $d - 1$ -cochain:

$$\nu_d(g_0, \dots, g_d) \sim \nu_d(g_0, \dots, g_d)(\delta\beta_{d-1})(g_0, \dots, g_d)$$

- $\mathcal{H}^{d+1}(G, U_1)$ is the equivalence class of cocycles ν_d .

$d + 1$ D lattice topological NL σ Ms with symmetry G in are classified by $\mathcal{H}^{d+1}(G, U_1)$:

$$e^{-S} = \prod \nu_{d+1}^{s(i,j,\dots)}(g_i, g_j, \dots), \nu_{d+1}(g_0, g_1, \dots, g_{d+1}) \in \mathcal{H}^{d+1}(G, U_1)$$

Topological invariance in topological $NL\sigma M$ s



As we change the space-time lattice,
the action amplitude e^{-S} does not change:

$$\nu_2(g_0, g_1, g_2)\nu_2^{-1}(g_1, g_2, g_3) = \nu_2(g_0, g_1, g_3)\nu_2^{-1}(g_0, g_2, g_3)$$

$$\nu_2(g_0, g_1, g_2)\nu_2^{-1}(g_1, g_2, g_3)\nu_2(g_0, g_2, g_3) = \nu_2(g_0, g_1, g_3)$$

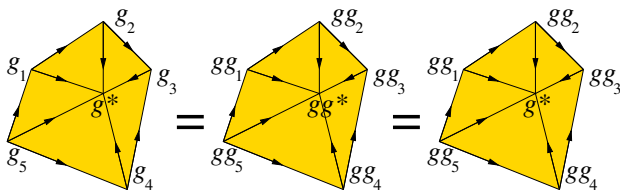
as implied by the cocycle condition:

$$\nu_2(g_1, g_2, g_3)\nu_2(g_0, g_1, g_3)\nu_2^{-1}(g_0, g_2, g_3)\nu_2^{-1}(g_0, g_1, g_2) = 1$$

The topological $NL\sigma M$ is a RG fixed-point.

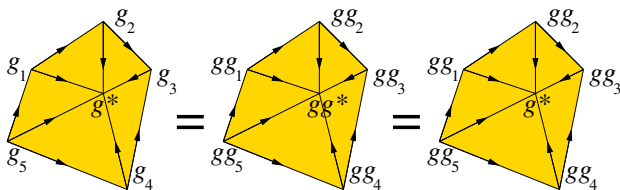
- What is the ground state wave function of the topological $NL\sigma M$?

The $NL\sigma M$ ground state is short-range entangled



The ground state wave function $\Psi(\{g_i\}) = \prod_i \nu_2(g_i, g_{i+1}, g^*)$

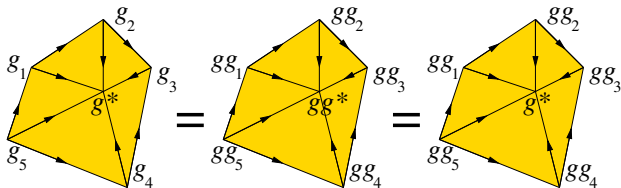
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The ground state wave function $\Psi(\{g_i\}) = \prod_i \nu_2(g_i, g_{i+1}, g^*)$

- It is symmetric under the G -transformation

The $NL\sigma M$ ground state is short-range entangled



The ground state wave function $\Psi(\{g_i\}) = \prod_i \nu_2(g_i, g_{i+1}, g^*)$

- It is symmetric under the G -transformation
- It is equivalent to a product state $|\Psi_0\rangle = \otimes_i \sum_{g_i} |g_i\rangle$ under a LU transformation (note that $\Psi_0(\{g_i\}) = 1$)

$$\Psi(\{g_i\}) = \prod_{i=\text{even}} \nu_2(g_i, g_{i+1}, g^*) \prod_{i=\text{odd}} \nu_2(g_i, g_{i+1}, g^*) \Psi_0(\{g_i\})$$

$$= \text{[Diagram of a brickwork lattice of yellow blocks]} \Psi_0(\{g_i\}) \rightarrow \text{Short-range entangled}$$

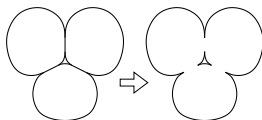
The ground state is symmetric with a trivial topo. order

The $NL\sigma M$ defined by ν_{d+1} has no topological order

Does the partition function $Z[M^{d+1}]$ have any non-trivial dependence on the “shape” or topology of the space-time manifold M^{d+1} ?

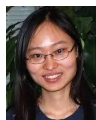
$$Z[M^{d+1}] = \sum_{\{g_i\}} \prod \nu_{d+1}^{s_{012\dots}}(g_0, g_1, g_2, \dots) = |G|^{N_v}$$

for any space-time manifold M^{d+1} obtained by gluing S^{d+1} 's.

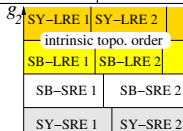
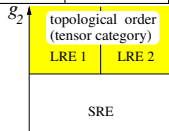


No topological order (?)

Symmetry G	$d = 0$	$d = 1$	$d = 2$	$d = 3$
$U_1 \times Z_2^T$ (top. ins.)	\mathbb{Z}	\mathbb{Z}_2 (0)	\mathbb{Z}_2 (\mathbb{Z}_2)	\mathbb{Z}_2^2 (\mathbb{Z}_2)
$U_1 \times Z_2^T \times \text{trans}$	\mathbb{Z}	$\mathbb{Z} \times \mathbb{Z}_2$	$\mathbb{Z} \times \mathbb{Z}_2^3$	$\mathbb{Z} \times \mathbb{Z}_2^8$
$U_1 \times Z_2^T$ (spin sys.)	0	\mathbb{Z}_2^2	0	\mathbb{Z}_2^3
$U_1 \times Z_2^T \times \text{trans}$	0	\mathbb{Z}_2^2	\mathbb{Z}_2^4	\mathbb{Z}_2^9
Z_2^T (top. SC)	0	\mathbb{Z}_2 (\mathbb{Z})	0 (0)	\mathbb{Z}_2 (0)
$Z_2^T \times \text{trans}$	0	\mathbb{Z}_2	\mathbb{Z}_2^2	\mathbb{Z}_2^4
U_1	\mathbb{Z}	0	\mathbb{Z}	0
$U_1 \times \text{trans}$	\mathbb{Z}	\mathbb{Z}	\mathbb{Z}^2	\mathbb{Z}^4
Z_n	\mathbb{Z}_n	0	\mathbb{Z}_n	0
$Z_n \times Z_n$	\mathbb{Z}_n^2	\mathbb{Z}_n	\mathbb{Z}_n^3	\mathbb{Z}_n^2
$Z_n \times Z_n \times Z_n$	\mathbb{Z}_n^3	\mathbb{Z}_n^3	\mathbb{Z}_n^7	\mathbb{Z}_n^8
$D_{2h} = Z_2 \times Z_2 \times Z_2^T$	\mathbb{Z}_2^2	\mathbb{Z}_2^4	\mathbb{Z}_2^6	\mathbb{Z}_2^9
$SU(2)$	0	0	\mathbb{Z}	0
$SO(3)$ (spin sys.)	0	\mathbb{Z}_2	\mathbb{Z}	0
$SO(3) \times Z_2^T$ (spin sys.)	0	\mathbb{Z}_2^2	\mathbb{Z}_2	\mathbb{Z}_2^3



Z_2^T : time reversal,
 "trans": translation,
 0 \rightarrow only trivial phase.
 (\mathbb{Z}_2) \rightarrow free fermion result



SET orders (tensor category w/ symmetry)
 symmetry breaking (group theory)
 SPT orders

Universal probe for SPT orders

- How do you know the $NL\sigma M$'s with different cocycles produce different SPT orders? Why “seemly-the-same” path integrals can produce different SPT phases? How do you measure SPT orders?

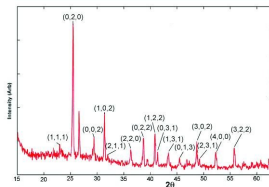
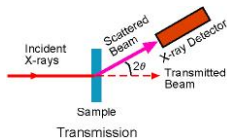
Universal probe = one probe to detect all possible orders.

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Universal probe = one probe to detect all possible orders.

- Universal probe for crystal order
= X-ray diffraction:

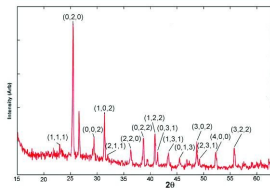
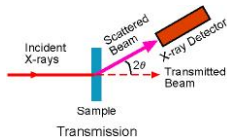


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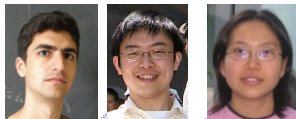
Universal probe = one probe to detect all possible orders.

- Universal probe for crystal order
= X-ray diffraction:



- Partitional function as an universal probe, but $Z_{\text{top}}^{\text{SPT}}(M^d) = 1 \rightarrow$ does not work.
- Twist the symmetry by “gauging” the symmetry on M^d
 - $\rightarrow A - G$ gauge field.
 - $\rightarrow Z_{\text{top}}^{\text{SPT}}(A, M^d) \neq 1$.

Levin-Gu arXiv:1202.3120; Hung-Wen arXiv:1311.5539



Symmetry twist and “gauging” $NL\sigma M$

- In the $NL\sigma M$ path integral

$$Z(M^d) = \int D[g(x)] e^{-\int d^d x \mathcal{L}(g, g^{-1} dg)},$$

we sum over all the cross-sections of a trivial bundle $G \times M^d$.

- In the “gauged” $NL\sigma M$ (with symmetry twist), we sum over all the cross-sections of a flat bundle $G \times M^d$ with a flat connection.

“Gauging” (adding symmetry twist) in more details

- Change variable $g(x) \rightarrow h(x)g(x)$:

$$\mathcal{L}(hg, (hg)^{-1} d(hg)) = \mathcal{L}(g, g^{-1} dg + A), \quad A = h^{-1} dh \rightarrow$$

$$Z(M^d) = \int D[g(x)] e^{-\int d^d x \mathcal{L}(g, g^{-1}(d - iA)g)}, \quad A = i h^{-1} dh.$$

“Gauged” partition function (with symmetry twist)

$$Z(A, M^d) = \int D[g(x)] e^{-\int d^d x \mathcal{L}(g, g^{-1}(d - iA)g)}, \quad F = dA + i[A, A] = 0$$

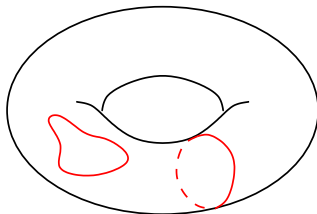
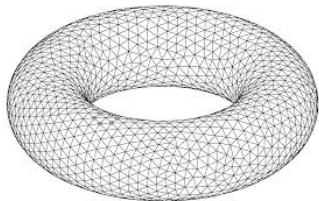
- For continuous group G , we can generalize the above to non-flat connection and non-flat bundle.

Examples of symmetry twist (gauge configuration)

- $U(1)$ symmetry twist: closed one-form A with

$$\oint_{S_x^1} A = \phi_x,$$

$$\oint_{S_y^1} A = \phi_y.$$



- Z_2 symmetry twist: closed quantized one-form A with

$$\oint_{S_x^1} A = 0, \pi, \quad \oint_{S_y^1} A = 0, \pi.$$

- We can choose the one-form A to be non-zero only on some codimension-1 closed sub-manifolds.

Z_2 symmetry twist \leftrightarrow codimension-1 sub-manifolds

(Poincaré duality).

- Contractable loop \rightarrow exact one-form $A = df$

(pure gauge or coboundary)

Universal topo. inv.: “gauged” partition function

$$\frac{Z(A, M^d)}{Z(0, M^d)} = \frac{\int Dg e^{-\int \mathcal{L}(g^{-1}(d-iA)g)}}{\int Dg e^{-\int \mathcal{L}(g^{-1}dg)}} = e^{-i2\pi \int W_{A\text{-top}}(A)}$$

- $W_{A\text{-top}}(A)$ and $W'_{A\text{-top}}(A)$ are equivalent if

$$W'_{A\text{-top}}(A) - W_{A\text{-top}}(A) = \frac{1}{\lambda_g} \text{Tr}(F^2) + \dots$$

- The equivalent class of the gauge-topological term $W_{A\text{-top}}(A)$ is the topological invariant that probe different SPT state.
- The topological invariant $W_{A\text{-top}}(A)$ are Chern-Simons terms or Chern-Simons-like terms.

- Such Chern-Simons-like terms are classified by

$$H^{d+1}(BG, \mathbb{Z}) = \mathcal{H}^d[G, U(1)]$$

Dijkgraaf-Witten CMP 129, 393 (90)

*The topological invariant $W_{A\text{-top}}(A)$
can probe all the $NL\sigma M$ SPT states*

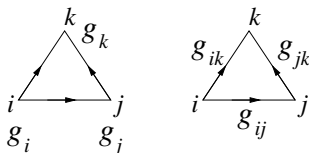
- $W_{A\text{-top}}(A)$ can be viewed as a Lagrangian that defines a gauge theory:

Dijkgraaf-Witten gauge theory



Calculate the SPT invariants for SPT phases

- Topological $NL\sigma M$, as a fixed-point theory, contain only the pure topological term, and it is easy to calculate $W_{A-top}(A)$:
- **Lattice**: cocycle $\nu_d(\{g_i\}) \rightarrow$ DW action $W_{A-top}(A)$
 - $NL\sigma M$: $Z = \sum_{\{g_i\}} \prod \nu_3^{S_{ijk}}(g_i, g_j, g_k) = \int D[g] e^{i2\pi W_{G-top}(g^{-1} dg)}$
 - DW-gauge theory $Z = \sum_{\{g_{ij}\}} \prod \omega_3^{S_{ijk}}(g_{ij}, g_{jk}) = \int D[A] e^{i2\pi W_{A-top}(A)}$
 where the amplitude $e^{i2\pi W_{A-top}(A)}$ is non-zero only for flat connections: $g_{ik} = g_{ij}g_{jk}$.
 - Connection: $\nu_3(g_i, g_j, g_k) = \nu_3(hg_i, hg_j, hg_k) = \omega_3(g_i^{-1}g_j, g_j^{-1}g_k)$



- **Continuum**: G -topo. term $W_{G-top}(g^{-1} dg)_{g^{-1} dg \rightarrow A} \rightarrow W_{A-top}(A)$

An example: SU_2 SPT state

- Topo. term for SU_2 SPT state:

- In $2 + 1D$ $\pi_3(SU_2) = \mathbb{Z}$:

$$W_{G\text{-top}}^3 = k \frac{\text{Tr}(ig^{-1}dg)^3}{24\pi^2}.$$

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$$W_{A\text{-top}}^3 = k \text{Tr} \frac{A^3}{24\pi^2}.$$

An example: SU_2 SPT state

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 $W_{G\text{-top}}^3 = k \frac{\text{Tr}(ig^{-1}dg)^3}{24\pi^2}$.
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d	$\mathcal{H}^d(SU_2)$	$W_{A\text{-top}}^d$
$0 + 1$	0	
$1 + 1$	0	
$2 + 1$	\mathbb{Z}	$\text{Tr} \frac{A^3 + 3AF}{24\pi^2}$
$3 + 1$	0	

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$$W_{A\text{-top}}^3 = k \text{Tr} \frac{A^3 + 3AF}{24\pi^2}.$$

SPT inv. \rightarrow phys. measurement:

\rightarrow Spin quantum Hall

conductance $\sigma_{xy}^{\text{spin}} = \frac{k}{4\pi}$

\rightarrow Gapless state if the

SU_2 symm. is not broken.

(No topo. order, need symm. protection) [Liu-Wen arXiv:1205.7024](#)

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$1 + 1$	0	
$2 + 1$	\mathbb{Z}	$\text{Tr} \frac{A^3 + 3AF}{24\pi^2}$
$3 + 1$	0	

The edge of the $SU(2)$ SPT state must be gapless

Bulk fixed-point action:

Liu-Wen arXiv:1205.7024

$S_{\text{bulk}} = -i \frac{k}{12\pi} \int_{M^3} \text{Tr}(g^{-1} dg)^3, \quad k \in \mathbb{Z}, \quad g \in SU(2)$ The $SU(2)$
symmetry $g(x) \rightarrow hg(x), \quad h, g(x) \in SU(2)$

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The $SU(2)$ symmetry $g(x) \rightarrow hg(x)$, $h, g(x) \in SU(2)$

- The edge excitations on ∂M^3 described by fixed-point WZW:

$$S_{\text{edge}} = \int_{\partial M^3} \frac{k}{8\pi} \text{Tr}(\partial g^{-1} \partial g) - i \int_{M^3} \frac{k}{12\pi} \text{Tr}(g^{-1} dg)^3,$$

- At the fixed point, we have a equation of motion

$$\partial_{\bar{z}}[(\partial_z g)g^{-1}] = 0, \quad \partial_z[(\partial_{\bar{z}} g^{-1})g] = 0, \quad z = x + it.$$

Right movers $[(\partial_z g)g^{-1}](z) \rightarrow SU(2)$ -charges

Left movers $[(\partial_{\bar{z}} g^{-1})g](\bar{z}) \rightarrow SU_L(2)$ -charges, $g(x) \rightarrow g(x)h_L$

Level-k Kac-Moody algebra

Witten NPB 223, 422 (83)

- **The $SU(2)$ symmetry is anomalous at the edge.**

In general, **G SPT state has anomalous G -symmetry at the boundary** – a defining property of SPT phases.



Theorem: **The boundary of any 2+1D SPT states must be gapless or symmetry breaking.**

Chen-Liu-Wen arXiv:1106.4752;

$U(1)$ SPT phases and their physical properties

- Topo. terms for U_1 SPT state:
- In $0+1\mathbf{D}$, $W_{\text{A-top}}^1 = k \frac{A}{2\pi}$.

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$0+1$	\mathbb{Z}	$\frac{A}{2\pi}$
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$3+1$	0	

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SPT inv. \rightarrow **phys. measurement:**

\rightarrow ground state carries charge k

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SPT inv. \rightarrow phys. measurement:
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- In $2 + 1\text{D}$, $W_{\text{A-top}}^3 = k \frac{AF}{(2\pi)^2}$ (?)

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SPT inv. \rightarrow **phys. measurement:**

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- In $2 + 1D$, $W_{A-top}^3 = k \frac{AF}{(2\pi)^2}$ (?)

SPT inv. \rightarrow **phys. measurement:**

\rightarrow Hall conductance $\sigma_{xy} = 2k \frac{e^2}{h}$

\rightarrow The edge of U_1 SPT phase must be gapless with left/right movers and has anomalous $U(1)$ symm.

\rightarrow Choose space-time $S^1 \times M^2$ and put $2\pi m$ flux through M^2 .

$$\mathcal{L}^{2+1D} = kAdA/2\pi \rightarrow \mathcal{L}^{0+1D} = k \int_{M^2} AdA/2\pi = 2kmA.$$

- The $2+1D$ U_1 SPT state labeled by k reduces to a $0+1D$ U_1 SPT state labeled by $2km$ (with charge $2km$ in ground state).

- $2\pi m$ flux in space M^2 induces $2km$ unit of charge \rightarrow Hall conductance $\sigma_{xy} = 2ke^2/h$. Lu-Vishwanath arXiv:1205.3156

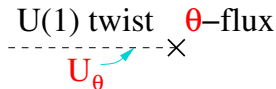
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$0 + 1$	\mathbb{Z}	$\frac{A}{2\pi}$
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From probe to mechanism of SPT states

- To probe:
 2π flux inducing $2k$ charge probes $U(1)$ SPT state.
- To create:
Attaching $2k$ charges to a $U(1)$ vortex makes $U(1)$ SPT state.

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 2π flux inducing $2k$ charge probes $U(1)$ SPT state.
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Attaching $2k$ charges to a $U(1)$ vortex makes $U(1)$ SPT state.
- Start with 2+1D bosonic superfluid:
proliferate vortices \rightarrow trivial Mott insulator.
proliferate vortex+ $2k$ -charge $\rightarrow U(1)$ SPT state labeled by k .
- Why? $U(1)$ flux is the $U(1)$ symmetry twist. A vortex in $U(1)$ superfluid is a 2π $U(1)$ symmetry twist = 2π flux.



The vortex condensed state (or the vortex proliferated state) remembers the binding of vortex and $2k$ -charge:
a 2π $U(1)$ symmetry twist (2π flux) carries $2k$ charges.

Why bind even charge to vortex?

- Can we bind charge-1 to a vortex, to make a new $U(1)$ SPT state beyond group cohomology, which has $\sigma_{xy} = \frac{e^2}{h}$?

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(2) The bound state of charge-1+vortex is a fermion. They cannot condense to make the superfluid into an insulator. But a (charge-1+vortex)-pair is a boson. Proliferate/condensing such (charge-1+vortex)-pairs can make the superfluid into an insulator with non-trivial Z_2 topological order described by Z_2 gauge theory.

The duality between the probe and the mechanism is a general phenomenon which also appears for other SPT orders.

Electromagnetic response in state with no topological order

- Bosons with no topo. order: $\frac{2k}{4\pi} \int_{M^3} A dA$
- Fermions with no topo. order: $\frac{k}{4\pi} \int_{M^3} A dA$

An understanding via algebraic topology:

- The Chern-Simons term is better defined by going to one higher dimension:

$$\frac{\sigma_{xy}}{4\pi} \int_{M^3=\partial M^4} A dA = \frac{\sigma_{xy}}{2} 2\pi \int_{M^4} \left(\frac{dA}{2\pi}\right)^2$$

which well defined only when $\frac{\sigma_{xy}}{2} \int_{M^4} \left(\frac{dA}{2\pi}\right)^2$ is always integer for closed M^4 .

- Two math relations:

1) $Sq^2(x_2) = x_2 \cup x_2$ for any 2-cocycle $x_2 \in H^2(M^4, \mathbb{Z}_2)$

2) $Sq^2(x_2) = u_2 \cup x_2 = (w_2 + w_1 \cup w_1) \cup x_2$ in 4-dimensions

Choose $x_2 = \frac{dA}{2\pi} \bmod 2 \rightarrow \left(\frac{dA}{2\pi}\right)^2 = (w_2 + w_1 \cup w_1) \cup \frac{dA}{2\pi} \bmod 2$.

- On CP^2 , $\int_{M^4} \left(\frac{dA}{2\pi}\right)^2 = 1 \rightarrow \frac{\sigma_{xy}}{2} = \text{int. for bosons.}$

- On spin manifold, $w_1, w_2 = 0$ and $\int_{M^4} \left(\frac{dA}{2\pi}\right)^2 = 0 \bmod 2$
 $\rightarrow \sigma_{xy} = \text{int. for fermions.}$

The boundary of the 2+1D bosonic $U(1)$ SPT state has a 1+1D bosonic $U(1)$ gauge anomaly

The boundary of the 2+1D $U(1)$ SPT state must be gapless.

- Partition function of $U(1)$ -SPT state on space-time with boundary:

$$Z(A, M^3) = e^{-\epsilon \text{Vol}} e^{i \int_M \frac{2k}{4\pi} A dA + \int_{\partial M} dt dx \mathcal{L}_{\text{edge}}}$$

- The total $Z(A, M^3)$ is gauge invariant under $A \rightarrow A + df$, but the bulk CS-term and the edge action separately are not gauge invariant if $2k \neq 0$. We need a $U(1)$ anomalous edge described by $\mathcal{L}_{\text{edge}}$ to cancel the gauge non-invariance of the CS-term.
- Such an edge must be gapless. Wen PRB 43, 11025 (89)

A mechanism for 2+1D $U_1 \times Z_2^T$ SPT state

Liu-Gu-Wen arXiv:1404.2818



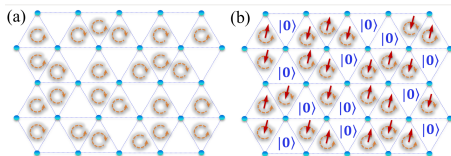
- 2+1D boson superfluid + gas of vortex
→ boson Mott insulator.
- 2+1D boson superfluid + gas of S^z -vortex
→ boson topological insulator ($U_1 \times Z_2^T$ SPT state)
- The boson superfluid + spin-1 system

S^z -vortex =

vortex + ($S_z = +1$)-spin

anti S^z -vortex =

anti-vortex + ($S_z = -1$)-spin



Probing 2+1D $U_1 \times Z_2^T$ SPT state

Let Φ_{vortex} be the creation operator of the vortex. Then

$$T^{-1}\Phi_{\text{vortex}}T = \Phi_{\text{vortex}}^\dagger, \quad \Phi_{S_z\text{-vortex}} = S^+\Phi_{\text{vortex}}, \quad T^{-1}\Phi_{S_z\text{-vortex}}T = -\Phi_{S_z\text{-vortex}}^\dagger.$$

The π -flux in the $U_1 \times Z_2^T$ SPT state is Kramer doublet:

$$\Phi_{S_z\text{-vortex}}|-\pi\rangle = |\pi\rangle, \quad \Phi_{S_z\text{-vortex}}^\dagger|\pi\rangle = |-\pi\rangle,$$

\mathbb{Z}_2 SPT phases and their physical properties

- Topological terms:

$$\oint A_{\mathbb{Z}_2} = 0, \pi; \quad a \equiv \frac{A_{\mathbb{Z}_2}}{\pi};$$

d	$\mathcal{H}^d[\mathbb{Z}_2]$	$W_{\text{A-top}}^d$
$0+1$	\mathbb{Z}_2	$\frac{1}{2}a$
$1+1$	0	
$2+1$	\mathbb{Z}_2	$\frac{1}{2}a^3$
$3+1$	0	

Wen arXiv:1410.8477

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$$Z[a] = \text{Tr}(U_{\pi}^{\text{twist}} e^{-H}) = e^{2\pi i \oint_{S^1} W_{A-top}} \\ = e^{i k \pi \oint_{S^1} a} = e^{i k \pi} = \pm 1, \quad k = 0, 1$$

SPT inv. \rightarrow phys. measurement:

\rightarrow ground state Z_2 -charge = $k = 0, 1$

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- In $2 + 1\text{D}$, $\int_{M^3} W_{\text{A-top}}^3 = \int_{M^3} \frac{1}{2} a^3$.

Here we do not view a as 1-form

but as 1-cocycle $a \in H^1(M^3, \mathbb{Z}_2)$, and $a^3 \equiv a \cup a \cup a$:

$$\int_{M^3} a \cup a \cup a = 0 \text{ or } 1 \rightarrow e^{2\pi i \oint_{M^3} W_{\text{A-top}}} = e^{\pi i \oint_{M^3} a^3} = \pm 1$$

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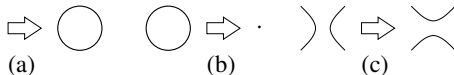
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- Poincaré duality: 1-cocycle $a \leftrightarrow$ 2-cycle N^2 (2D submanifold)

N^2 is the surface across which we do the \mathbb{Z}_2 symmetry twist.

Give M^3 an time and space slices, as we evolve in time:



$$\int_{M^3} a^3 = \# \text{ of loop creation/annihilation} + \# \text{ of line reconnection}$$

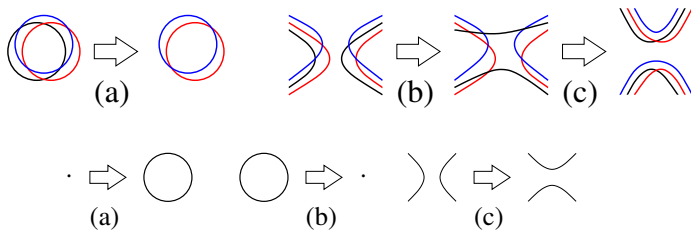
$$\oint A_{\mathbb{Z}_2} = 0, \pi; \quad a \equiv \frac{A_{\mathbb{Z}_2}}{\pi};$$

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Wen arXiv:1410.8477

How calculate $\int_{M^3} a^3$ (which can be 0 or 1 mod 2)

- $\int_{M^3} a_1 \cup a_2 \cup a_3 = \#$ of intersections of N_1, N_2, N_3 mod 2, where $a_i \rightarrow N_i$.
- $\int_{M^3} a \cup a \cup a = \#$ of intersections of N, N', N'' mod 2, where $a \rightarrow N, N', N''$.



How to probe Z_2 SPT phase; How to measure $\int_{M^3} \frac{1}{2} a^3$

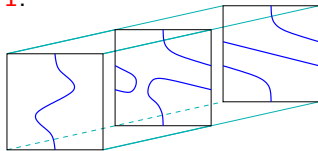
$$\frac{Z[a, M^3]}{Z[0, M^3]} = e^{i2\pi \int_{M^3} \frac{1}{2} a^3}$$

How to design (a, M^3) such that $\frac{Z[a, M^3]}{Z[0, M^3]} = e^{i2\pi \int_{M^3} \frac{1}{2} a^3} = -1$

- If we choose $M^3 = T^3$, $e^{i2\pi \int_{M^3} \frac{1}{2} a^3} = 1$ no matter how we choose the Z_2 symmetry twists $a \in H^1(T^3, \mathbb{Z}_2)$.
- Let us choose $M^3 = T^2 \times_{\text{Dehn}^2} S^1$, then, we can have a Z_2 symmetry twist to make $e^{i2\pi \int_{M^3} \frac{1}{2} a^3} = -1$.

The Z_2 symmetry twist a is represented by a 2D surface in space-time M^3 , which is a curve in space.

Hung-Wen arXiv:1311.5539



It is hard to probe the Z_2 SPT order (or Z_2 SPT inv.) using bulk measurement.



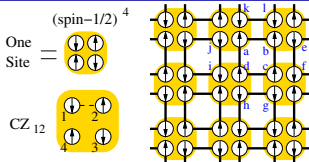
How to create 2+1D Z_2 SPT phase?

- $$H = \sum_{\square} H_p, \quad H_p = -X_{abcd} P_{ef} P_{gh} P_{ij} P_{kl},$$

$$X_{abcd} = | \uparrow\uparrow\uparrow\uparrow \rangle \langle \downarrow\downarrow\downarrow\downarrow | + | \downarrow\downarrow\downarrow\downarrow \rangle \langle \uparrow\uparrow\uparrow\uparrow |,$$

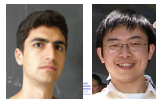
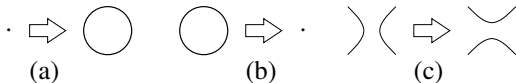
$$P = | \uparrow\uparrow \rangle \langle \uparrow\uparrow | + | \downarrow\downarrow \rangle \langle \downarrow\downarrow |.$$

Chen-Liu-Wen arXiv:1106.4752;



- Start with a Z_2 symmetry breaking state, then proliferate the symmetry breaking domain walls to restore the Z_2 -symmetry.
 - Domain wall quantum liquid = disordered Z_2 -symmetric state.
 - If Domain wall quantum liquid = $\sum | \text{wavy lines} \rangle$, then the Z_2 -symmetric state is the trivial Z_2 SPT state.
 - If Domain wall quantum liquid = $\sum (-)^{\# \text{ of loops}} | \text{wavy lines} \rangle$, then the Z_2 -symmetric state is the non-trivial Z_2 SPT state.

Levin-Gu arXiv:1202.3120



Why there is no non-trivial 1+1D Z_2 SPT phase?

- Because $\mathcal{H}^2(Z_2, U_1) = 0$.
But this only implies that our NL σ M construction fails to produce a non-trivial 1+1D Z_2 SPT state.
- May be non-trivial 1+1D Z_2 SPT state exists since we have a potential Z_2 SPT invariant in 1+1D $W_{A\text{-top}}^2(a) = \frac{1}{2}a \cup a$.

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- May be non-trivial 1+1D Z_2 SPT state exists since we have a potential Z_2 SPT invariant in 1+1D $W_{A\text{-top}}^2(a) = \frac{1}{2}a \cup a$.
- However, $\int a \cup a = 0 \pmod{2}$ on oriented manifold. There is not even non-trivial potential Z_2 SPT invariant in 1+1D
→ there is no non-trivial 1+1D Z_2 SPT phase.

Proof:

$$Sq^1(a) = a \cup a \text{ and } Sq^1(a) = u_1 \cup a = w_1 \cup a$$

$w_1 = 0$ for oriented manifold, and thus $a \cup a = 0 \pmod{2}$.

A 1+1D $Z_{N_1} \times Z_{N_2}$ SPT state

Wang-Gu-Wen arXiv:1405.7689

$$H^3[Z_{N_1} \times Z_{N_2}, U(1)] = \mathbb{Z}_{N_{12}} = \{0, 1, \dots, k, \dots, N_{12} - 1\}$$

where $N_{12} = \text{gcd}(N_1, N_2)$.

- We consider a SPT state labeled by $k \in \mathbb{Z}_{N_{12}}$ and assume $N_1 = N_2 = N$.
- **What is the SPT invariant?**

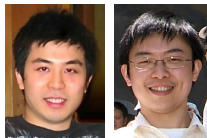
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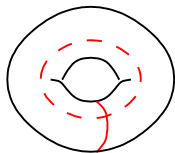
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The fixed-point partition function on space-time $T^2 = S^1 \times S^1$ with symmetry twists in x, t directions:

$$\frac{Z[a_1, a_2, T^2]}{Z[0, 0, T^2]} = e^{ik \frac{2\pi}{N_{12}} \int a_1 a_2},$$
$$\oint a_1 \in \mathbb{Z}_{N_1}; \quad \oint a_2 \in \mathbb{Z}_{N_2}.$$



SPT inv. \rightarrow physical measurement

A symmetry twist of Z_{N_1} carries Z_{N_2} -charge k .

Example: 1D $Z_2 \times Z_2 = D_2$ SPT state (spin-1 Haldane chain)
 $Z_2 \times Z_2 = D_2 = 180^\circ$ spin rotations in S^x, S^z .

Untwisted case:

$$H_{D_2} = \sum_i J_x S_i^x S_{i+1}^x + J_y S_i^y S_{i+1}^y + J_z S_i^z S_{i+1}^z \\ + J_x S_L^x S_1^x + J_y S_L^y S_1^y + J_z S_L^z S_1^z$$

The ground state has $e^{i\pi \sum S_i^z} = 1$.

Twisted case (by $e^{i\pi \sum S_i^x}$):

$$H_{D_2}^{\text{twist}} = \sum_i J_x S_i^x S_{i+1}^x + J_y S_i^y S_{i+1}^y + J_z S_i^z S_{i+1}^z \\ + J_x S_L^x S_1^x - J_y S_L^y S_1^y - J_z S_L^z S_1^z$$

The ground state has $e^{i\pi \sum S_i^z} = -1$.

From a SPT invariant to a SPT mechanism

For a 1D $Z_{N_1} \times Z_{N_2}$ SPT state

- SPT invariant: a symmetry twist of Z_{N_1} carries a “charge” of Z_{N_2}
Wen arXiv:1301.7675

Since the symmetry twist of Z_{N_1} = the domain wall of Z_{N_1}

- Bind k Z_{N_2} -charge to the domain wall of Z_{N_1}
→ 1D $Z_{N_1} \times Z_{N_2}$ SPT state labeled by $k \in \mathcal{H}^2[Z_{N_1} \times Z_{N_2}, U(1)]$

Example: A $Z_2^x \times Z_2^z$ spin-1 chain, & its symmetric phases

- $|x\rangle, |y\rangle, |z\rangle$ basis:

$$|\uparrow_z\rangle = \frac{|x\rangle + i|y\rangle}{\sqrt{2}}, \quad |0_z\rangle = |z\rangle, \quad |\downarrow_z\rangle = \frac{|x\rangle - i|y\rangle}{\sqrt{2}}$$

$$S^x = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad S^y = \begin{pmatrix} 0 & 0 & i \\ 0 & 0 & 0 \\ -i & 0 & 0 \end{pmatrix}, \quad S^z = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

- $Z_2^x \times Z_2^z$ symmetry: $U^x : (|x\rangle, |y\rangle, |z\rangle) \rightarrow (-|x\rangle, |y\rangle, |z\rangle)$
 $U^z : (|x\rangle, |y\rangle, |z\rangle) \rightarrow (|x\rangle, |y\rangle, -|z\rangle)$

- $H^0 = \sum_i -J_z S_i^z S_{i+1}^z \rightarrow Z_2^x$ breaking 

Two kinds of domain walls with the same energy, but different Z_2^z -charges and different hopping operators:

$$H_1^{\text{hop}} = \sum_i -K[(S_i^+)^2 + h.c.], \quad H_2^{\text{hop}} = \sum_i -J_{xy}(S_i^+ S_{i+1}^+ + h.c.).$$

- $H^0 + H_1^{\text{hop}}$ & $H^0 + H_2^{\text{hop}}$ \rightarrow different symm. ground states

A 2+1D $Z_{N_1} \times Z_{N_2} \times Z_{N_3}$ SPT state

$$H^3\left[\prod_{i=1}^3 Z_{N_i}, U(1)\right] = \mathbb{Z}_{N_1} \oplus \mathbb{Z}_{N_2} \oplus \mathbb{Z}_{N_3} \oplus \mathbb{Z}_{N_{12}} \oplus \mathbb{Z}_{N_{23}} \oplus \mathbb{Z}_{N_{13}} \oplus \mathbb{Z}_{N_{123}}$$

where $N_{123} = \text{gcd}(N_1, N_2, N_3)$.

- We consider a SPT state labeled by $k \in \mathbb{Z}_{N_{123}}$ and assume $N_1 = N_2 = N_3 = N$.

- **The SPT invariant:**

Wang-Gu-Wen arXiv:1405.7689

$$\frac{Z[a_1, a_2, a_3]}{Z[0, 0, 0]} = e^{ik \frac{2\pi}{N_{123}} \int a_1 a_2 a_3}$$

- **SPT inv. \rightarrow physical measurement:** The intersection of the symmetry twists in Z_{N_1} and Z_{N_2} carries Z_{N_3} -charge k .
- **A mechanism for such a SPT state:** Bind k Z_{N_3} -charge to the intersection of the domain walls of Z_{N_1} and Z_{N_2} .

- Dimension reduction: $T^3 = T_{x,t}^2 \times S_y^1$ and $\oint_{S^1} a_3 = 1$:

$$\frac{Z[a_1, a_2, T^2]}{Z[0, 0, T^2]} = e^{i \frac{kN_{12}}{N_{123}} \frac{2\pi}{N_{12}} \int a_1 a_2}$$

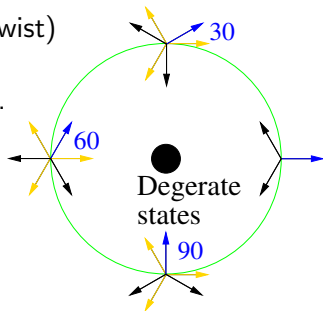
→ A 1+1D SPT state with $\frac{kN_{12}}{N_{123}} \in H^2[Z_{N_1} \times Z_{N_2}, U(1)] = \mathbb{Z}_{N_{12}}$.

→ degenerated states at the end of 1D chain that form a projective representation of $Z_{N_1} \times Z_{N_2}$.

- A Z_{N_3} “vortex” (end of Z_{N_3} symmetry twist) carries degenerated states that form a projective representation of $Z_{N_1} \times Z_{N_2}$.

- How to make Z_3 -vortex:**

- 1) Consider $U(1)$ symm. break down to Z_3 symm.
- 2) A vortex of the order parameter = Z_3 -vortex.

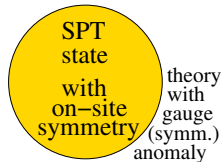


- Another mechanism for the 2+1D $Z_{N_1} \times Z_{N_2} \times Z_{N_3}$ SPT state: bind the 1+1D $Z_{N_1} \times Z_{N_2}$ SPT state to the domain wall of Z_{N_3} . [Chen-Lu-Vishwanath 12](#)

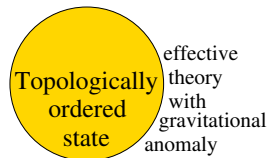
$\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$ does not produce all the SPT phases with symm. G : Topological states and anomalies

Wen arXiv:1303.1803; Kong-Wen arXiv:1405.5858

SPT order from $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$



Topological order



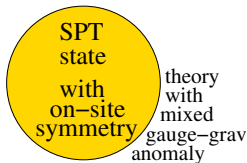
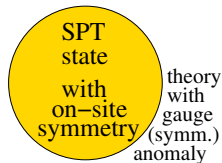
Pure SPT order within $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$:

$$W_{A\text{-top}}^d = \frac{AF}{(2\pi)^2}, \frac{1}{2}a^3$$

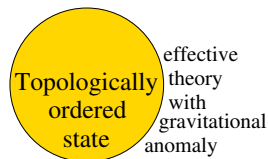
$\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$ does not produce all the SPT phases with symm. G : Topological states and anomalies

Wen arXiv:1303.1803; Kong-Wen arXiv:1405.5858

SPT order from $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$



Topological order



SPT order beyond $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$

Pure SPT order within $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$:

$$W_{A\text{-top}}^d = \frac{AF}{(2\pi)^2}, \frac{1}{2}a^3$$

mixed SPT order beyond $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$:

$$W_{A\text{-top}}^d = \frac{F}{2\pi}\omega_3, \frac{1}{2}ap_1$$

Invertible topological order:

$$W_{A\text{-top}}^d = \omega_3, \frac{1}{2}w_2w_3$$

p_1 is the first Pontryagin class, $d\omega_3 = p_1$, and w_i is the Stiefel-Whitney classes.

A general theory for bosonic pure STP orders, mixed SPT orders, and invertible topological orders

Wen arXiv:1410.8477

- $NL\sigma M$ (group cohomology) approach to pure SPT phases:
 - (1) $NL\sigma M$ +topo. term: $\frac{1}{2\lambda}|\partial g|^2 + 2\pi i W(g^{-1}\partial g)$, $g \in G$
 - (2) Add symm. twist: $\frac{1}{2\lambda}|(\partial - iA)g|^2 + 2\pi i W[(\partial - iA)g]$
 - (3) Integrate out matter field: $Z_{\text{fixed}} = e^{2\pi i \int W_{A\text{-top}}(A)}$
- $G \times SO_\infty$ $NL\sigma M$ (group cohomology) approach:
 - (1) $NL\sigma M$: $\frac{1}{2\lambda}|\partial g|^2 + 2\pi i W(g^{-1}\partial g)$, $g \in G \times SO$
 - (2) Add twist: $\frac{1}{2\lambda}|(\partial - iA - i\Gamma)g|^2 + 2\pi i W[(\partial - iA - i\Gamma)g]$
 - (3) Integrate out matter field: $Z_{\text{fixed}} = e^{2\pi i \int W_{A\text{-top}}(A, \Gamma)}$

Pure STP orders, mixed SPT orders, and invertible topological orders are classified by

$$\mathcal{H}^d(G \times SO, \mathbb{R}/\mathbb{Z}) \\ = \mathcal{H}^d(G, \mathbb{R}/\mathbb{Z}) \oplus_{k=1}^{d-1} \mathcal{H}^k[G, \mathcal{H}^{d-k}(SO, \mathbb{R}/\mathbb{Z})] \oplus \mathcal{H}^d(SO, \mathbb{R}/\mathbb{Z}) \\ \text{after quotient out something } \Gamma^d(G).$$

Trying to classify bosonic pure STP orders, mixed SPT orders, and invertible topological orders

- **Pure STP orders:** $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$
- **mixed SPT orders:** $\bigoplus_{k=1}^{d-1} \mathcal{H}^k[G, \mathcal{H}^{d-k}(SO, \mathbb{R}/\mathbb{Z})]$
- **iTO's:** $\mathcal{H}^d(SO, \mathbb{R}/\mathbb{Z})$

The above are one-to-one description of pure SPT orders, but only many-to-one description of mixed SPT orders and iTO's

- G -symmetry twists $A \rightarrow W_{A-top}^d(A)$ can fully detect/distinguish all elements of $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$.
- SO -symmetry twists $\Gamma_{SO} \rightarrow W_{A-top}^d(\Gamma_{SO})$ can fully detect/distinguish all elements of $\mathcal{H}^d(SO, \mathbb{R}/\mathbb{Z})$.
- SO -symmetry twists Γ from the tangent bundle of M^d are only special SO -symmetry twists (which are arbitrary SO -bundles on M^d) $\rightarrow W_{A-top}^d(\Gamma)$ cannot fully detect/distinguish all elements of $\mathcal{H}^d(SO, \mathbb{R}/\mathbb{Z})$. $\rightarrow \text{iTO}^d = \mathcal{H}^d(SO, \mathbb{R}/\mathbb{Z})/\Gamma^d$

Trying to classify bosonic pure STP orders, mixed SPT orders, and invertible topological orders

- **Pure STP orders:** $\mathcal{H}^d(G, \mathbb{R}/\mathbb{Z})$ (the black entries below)
- **mixed SPT order** $\bigoplus_{k=1}^{d-1} \mathcal{H}^k(G, \text{iTO}^{d-k}) = \frac{\bigoplus \mathcal{H}^k[G, \mathcal{H}^{d-k}(SO, \mathbb{R}/\mathbb{Z})]}{\Gamma^d(G)}$
- **iTO's:** $\text{iTO}^d = \mathcal{H}^d(SO, \mathbb{R}/\mathbb{Z})/\Gamma^d$
- Probe mixed SPT order described by $\mathcal{H}^k[G, \mathcal{H}^{d-k}(SO, \mathbb{R}/\mathbb{Z})]$: put the state on $M^d = M^k \times M^{d-k}$ and add a G -symmetry twist on $M^k \rightarrow$ Induce a state on M^{d-k} described by $\mathcal{H}^{d-k}(SO, \mathbb{R}/\mathbb{Z}) \rightarrow$ a iTO state in iTO^{d-k}

$G \setminus d =$	0+1	1+1	2+1	3+1	4+1	5+1	6+1
iTO^d	0	0	\mathbb{Z}	0	\mathbb{Z}_2	0	0
\mathbb{Z}_n	\mathbb{Z}_n	0	\mathbb{Z}_n	0	$\mathbb{Z}_n \oplus \mathbb{Z}_n$	$\mathbb{Z}_{\langle n,2 \rangle}$	$\mathbb{Z}_n \oplus \mathbb{Z}_n \oplus \mathbb{Z}_{\langle n,2 \rangle}$
\mathbb{Z}_2^T	0	\mathbb{Z}_2	0	$\mathbb{Z}_2 \oplus \mathbb{Z}_2$	0	$\mathbb{Z}_2 \oplus 2\mathbb{Z}_2$	\mathbb{Z}_2
$U(1)$	\mathbb{Z}	0	\mathbb{Z}	0	$\mathbb{Z} \oplus \mathbb{Z}$	0	$\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}_2$
$U(1) \times \mathbb{Z}_2$	\mathbb{Z}_2	\mathbb{Z}_2	$\mathbb{Z} \oplus \mathbb{Z}_2$	\mathbb{Z}_2	$2\mathbb{Z}_2 \oplus \mathbb{Z}_2$	$2\mathbb{Z}_2 \oplus 2\mathbb{Z}_2$	$\mathbb{Z} \oplus 2\mathbb{Z}_2 \oplus \mathbb{Z} \oplus 2\mathbb{Z}_2$
$U(1) \times \mathbb{Z}_2^T$	0	$2\mathbb{Z}_2$	0	$3\mathbb{Z}_2 \oplus \mathbb{Z}_2$	0	$4\mathbb{Z}_2 \oplus 3\mathbb{Z}_2$	$2\mathbb{Z}_2 \oplus \mathbb{Z}_2$
$U(1) \times \mathbb{Z}_2^T$	\mathbb{Z}	\mathbb{Z}_2	\mathbb{Z}_2	$2\mathbb{Z}_2 \oplus \mathbb{Z}_2$	$\mathbb{Z} \oplus \mathbb{Z}_2 \oplus \mathbb{Z}$	$2\mathbb{Z}_2 \oplus 2\mathbb{Z}_2$	$2\mathbb{Z}_2 \oplus 3\mathbb{Z}_2 \oplus \mathbb{Z}_2$

Wen arXiv:1410.8477