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## IAS - Lecture II (Kachru)

Last time, we developed a 4d description of a class of IIB vacua:



Today, we'll:

- Describe how one computes the vacuum structure in very simple examples
  - See that duality implies existence of strange new models
  - Summarize some more "global" claims about  $\{\text{IIB flux vacua}\}$
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### I. Examples

We'll discuss two very simple cases.

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A) (Imaginary) "Rigid CY" [c.f. hep-th/0404116  
0411061]

Rigid  $\rightarrow h^{2,1}(M_6) = 0 \rightarrow$  no complex moduli  $\mathbb{Z}^d$

$$\text{So } W = \int (F - \tau H) \wedge \Omega$$

depends only on the axio-dilaton  $\tau$ .

Suppose we have a symplectic basis for

$H_3(M_6)$   $A, B$   $\dagger$  dual cohomology  $d, \beta$ :

$$A \cap B = \text{pt} \quad \text{others } 0$$

$$\int_A d = - \int_B \beta = 1 \quad \text{others } 0$$

$$\int_{M_6} d \wedge \beta = 1$$

Expand

$$F_3 = f_1 d + f_2 \beta \quad f_i \in \mathbb{Z}$$

$$H_3 = h_1 d + h_2 \beta \quad h_i \in \mathbb{Z}$$

D3 brane charge in fluxes?

$$\int F \wedge H = f_1 h_2 - h_1 f_2 \equiv N_{\text{flux}}$$

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Assuming  $\Omega$  has periods

$$\int_A \Omega = i \quad \left( \rightarrow \Omega = i\alpha - \beta \right)$$
$$\int_B \Omega = 1$$

the flux superpotential is

$$W = \int (F - \tau H) \wedge \Omega =$$
$$A\tau + B$$

$$A = \tau h_1 + i h_2, \quad B = -(f_1 + i f_2)$$

Equation for no-scale vacua?

$$K = -\log [-i(\tau - \bar{\tau})] \rightarrow$$

$$D_{\bar{\tau}} W = \frac{\partial W}{\partial \bar{\tau}} + \left( \frac{-1}{-i(\tau - \bar{\tau})} \cdot -i \right) W$$

$$= A + \frac{1}{\bar{\tau} - \tau} (A\tau + B) \Rightarrow$$

$$A\bar{\tau} + B = 0$$

Solving for  $\bar{\tau}$  given fluxes  $\rightarrow$

$$\boxed{\bar{\tau} = -\frac{B}{A}}$$

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$$\text{Im}(\tau) > 0 \iff N_{\text{flux}} > 0.$$

### Modular Group

For the rigid model,  $G = \text{SL}(2, \mathbb{Z})$ .

To avoid over-counting models, we should fix this symmetry group.

One approach: Count only  $\tau \in \mathcal{F}$

$$\mathcal{F} : \left\{ \tau : -\frac{1}{2} \leq \text{Re} \tau \leq \frac{1}{2}, |\tau| \geq 1, |\tau| \neq 1 \right. \\ \left. \text{for } \text{Re}(\tau) < 0 \right\}$$

Another way to fix  $\text{SL}(2, \mathbb{Z})$  is to take only a "canonical" form of the fluxes.

$\text{SL}(2, \mathbb{Z})$  acts on  $F_3, H_3$  as

$$\begin{pmatrix} F \\ H \end{pmatrix} \rightarrow \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} F \\ H \end{pmatrix}$$

So we can also gauge fix by requiring:

$$h_1 = 0, \quad 0 \leq f_2 < h_2.$$

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Then assuming that  $\exists$  "L" units of  
D3 charge

$$N_{\text{flux}} \leq L \Rightarrow f_1 h_2 \leq L$$

Total # of vacua

$$N_{\text{vacua}}(L) = \sum_{m=1}^L \sum_{k|L} k$$

↑                          ↙       ↘  
amount of                          choice of  $h_2$ ; then  
D3 charge                           $k$  choices of  $f_2 \dots$   
in flux

$$= \sum_{m=1}^L \sigma(m) \sim \frac{\pi^2}{12} L^2 \quad (\text{cf. Hardy / Wright})$$

where  $\sigma(m) \equiv$  sum of divisors of  $m$ .

Notice  $N_{\text{vacua}} \sim L^{b_3}$ . } This holds also  
for real models  
with  $b_3 \gg 1$ ;  
cf Douglas et al.

Distribution of vacua on  $\mathcal{M}_\tau$ ?

Let's consider the other gauge fixing,

$\tau \in \mathcal{F}$ . And, let's assume  $L \gg 1$  --

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"continuous flux approximation."

- The # of complex \*s:  $z = z_1 + i z_2$   
 $z_1, z_2 \in \mathbb{Z}$ , of magnitude  $|z|$ , goes like  $2\pi |z| dz$ . For large  $|z|$ , the phases are uniformly distributed on  $S^1$ .

Thus: (an estimate # of pairs  $A, B$  giving rise to any particular  $\tau \in \mathbb{F}$ , as follows. Taking

$$A = |A| e^{i\theta}$$

$$B = |B| e^{i(\theta+\psi)} = e^{i\theta} \beta$$

we have

$$L \geq |A| |B| \sin \psi$$

$$\text{Im } \tau = \frac{|B|}{|A|} \sin \psi$$

$$\Rightarrow |A| \leq \sqrt{\frac{L}{\text{Im } \tau}}$$

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So, distribution of vacua scales as

$$N_{\text{vac}}(L; \tau) \sim \int_0^{\sqrt{\frac{L}{\text{Im} \tau}}} 2\pi |A| d|A| \times$$

$$\int d^2\beta \delta^2\left(\tau - \frac{1}{|A|^2} \beta\right)$$

$$\sim \frac{L^2}{(\text{Im} \tau)^2}$$

} Governed by volume form on  $M_\tau$

On HW, you'll learn more about scaling & distribution of flux vacua on generic

Calabi-Yau spaces. [Ashoke-Douglas, <sup>hep-th/0307049</sup> + ...]

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B) Simple toroidal orientifold & its "mirror"

I have been (and will in lectures 3,4) focusing

on IIB CY flux vacua. Much is also

known about IIA CY flux vacua. This

example hints that the landscape of flux

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vacua is much richer than these sets.

Warm-up: The twisted torus (c.f. hep-th/0211182)

Imagine starting with a square  $T^3$   $M$ ,  
with metric

$$ds^2 = dx^2 + dy^2 + dz^2, \quad \text{and}$$

$$\int_M H_3 = N.$$

$H = dB$  -- choose gauge  $B_{yz} = Nx$ .

[ This background  $\rightarrow$  to a static sol'n,  
but we'll use it as part of a SUSY vacuum  
momentarily ]

Now, T-dualize along the  $z$  direction.

Applying Buscher's T-duality rules,

the result is a background with:



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•  $B = 0$

•  $ds^2 = dx^2 + dy^2 + (dz + Nx dy)^2$

"Nilmanifold"  $M$

$$(x, y, z) \cong (x, y+1, z) \cong (x, y, z+1) \cong (x+1, y, z - Ny)$$

$$h^1(M) = 2 \quad \text{-- distinct topology from } T^3$$

T-dualizing again along  $y \Rightarrow$

$$ds^2 = \frac{1}{1+N^2x^2} (dz^2 + dy^2) + dx^2$$

$$B_{yz} = \frac{Nx}{1+N^2x^2}$$

Not well defined as you go around  $x$  circle;

$(g, B)$  are periodic up to  $O(2, 2, \mathbb{Z})$  element

which is NOT in  $SL(2, \mathbb{Z})$ .

"Non-geometrical" -- we'll avoid discussing such things, but see eg. hep-th/0508133 (Shelton et al).

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Now, consider the  $T^6/\mathbb{Z}_2$  orientifold ( $\mathbb{Z}_2$  acts on all 6 circles). For simplicity, take

$(T^2)^3$  with complex moduli  $\tau_{1,2,3}$ :

$$dz^i = dx^i + \tau_i dy^i$$

$$\Omega = \prod dz^i$$

Flux vacua in this model were studied in

eg hep-th (9908088)  $\begin{pmatrix} 9908088 \\ 0201028 \\ 0201029 \end{pmatrix}$ . One example (from 0211082)

suffices for us. Let

$$F_3 = 2 \{ dx^1 dx^2 dy^3 + dy^1 dy^2 dy^3 \}$$

$$H_3 = 2 \{ dx^1 dx^2 dx^3 + dy^1 dy^2 dx^3 \}$$

→ can easily read off

$$W = 2 \{ \tau_1 \tau_2 + 1 \} + 2 \phi \{ \tau_1 \tau_2 \tau_3 + \tau_3 \}$$

↖ new name for dilaton

Then:

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$$\frac{\partial W}{\partial \tau_1} \propto \tau_2 (1 + \phi \tau_3)$$

$$\frac{\partial W}{\partial \tau_2} \propto \tau_1 (1 + \phi \tau_3)$$

$$\frac{\partial W}{\partial \tau_3} \propto \phi (\tau_1 \tau_2 + 1)$$

$$\frac{\partial W}{\partial \phi} \propto \tau_3 (\tau_1 \tau_2 + 1)$$

$\exists$  moduli space

of  $W = 0$  vacua:

$$\mathcal{M} : \begin{cases} \phi \tau_3 = -1 \\ \tau_1 \tau_2 = -1 \end{cases}$$

In appropriate regions of  $\mathcal{M}$ , the best description involves T-dualizing 1, 2 or 3 times. In gauge

$$B_{x^1 x^3} = 2x^2, \quad B_{y^1 x^3} = 2y^2$$

One T-duality: (Along  $x^1$ )

$\Rightarrow$  IIA model with

$$ds^2 = \frac{1}{R_{x^1}{}^2} (dx^1 + 2x^2 dx^3)^2 +$$

$$R_{x^2}{}^2 (dx^2)^2 + R_{x^3}{}^2 (dx^3)^2 + \sum_i R_{y^i}{}^2 (dy^i)^2$$

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So  $X^1 \dots X^3$  sweep out a Nilmanifold over the  $y$   $T^3$ . Also,

$$H_3 = 2 \, dy^1 \, dy^2 \, dx^3 \quad B_{y^1 x^3} = 2y^2$$

$$F_2 = 2 \, dx^2 \wedge dy^3$$

$$F_4 = 2 \, (dx^1 + 2x^2 dx^3) \wedge dy^1 \wedge dy^2 \wedge dy^3$$

Note for this manifold  $M$   $b^1(M) = 5 \Rightarrow$

the space is Non-Kähler.

Dualize again on  $y^1 \Rightarrow$  IIB with

$$ds^2 = \tilde{R}_{x^1}^2 (dx^1 + 2x^2 dx^3)^2 + R_{x^2}^2 (dx^2)^2 + R_{x^3}^2 (dx^3)^2 \\ + \frac{1}{R_{y^1}^2} (dy^1 + 2y^2 dx^3)^2 + R_{y^2}^2 (dy^2)^2 + (R_{y^3})^2 (dy^3)^2$$

$$B = 0$$

$$F_3 = 2 \, (dx^1 + 2x^2 dx^3) \wedge dy^2 \wedge dy^3 + \\ 2 \, (dy^1 + 2y^2 dx^3) \wedge dx^2 \wedge dy^3$$

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This space is also Non-Kähler.

Third T-duality on e.g.  $y^3 \rightarrow$  IIA "mirror"  
of original model (ala SYZ Mirror symmetry =  
T-duality on  $T^3$  fibers of Calabi-Yau).

$$F_2 = 2 (dx^1 + 2x^2 dx^3) \wedge dy^2 \\ + 2 (dy^1 + 2y^2 dx^3) \wedge dx^2$$

Not a CY space. The "new" metric info  
is encoded in windings:

- $x^1 x^3 T^2$  has  $SL(2, \mathbb{Z})$  monodromy as  $x^2 \rightarrow x^2 + 1$
- $y^1 x^3 T^2$  has  $SL(2, \mathbb{Z})$  monodromy as  $y^2 \rightarrow y^2 + 1$

This simple eg. suggests IIB / IIA Flux vacua  
based on CY spaces are just the tip of the  
iceberg.